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EL DIPOLAR CYCLOADDITION REACTIONS OF 1,2-DIONES AND RELATED CHEMISTRY

THESIS SUBMITTED TO
COCHIN UNIVERSITY OF SCIENCE AND TECHNOLOGY
IN PARTIAL FULFILMENT OF THE REQUIREMENTS
FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY
IN CHEMISTRY UNDER THE FACULTY OF SCIENCE

BY

SHEELA K. C.

UNDER THE SUPERVISION OF Dr. G. VIJAY NAIR

ORGANIC CHEMISTRY DIVISION
REGIONAL RESEARCH LABORATORY (CSIR)
THIRUVANANTHAPURAM-695 019, KERALA, INDIA

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DEDICATED TO
MY PARENTS AND TEACHERS

DECLARATION

I hereby declare that the matter embodied in the thesis entitled "NOVEL DIPOLAR CYCLOADDITION REACTIONS OF 1,2-DIONES AND RELATED CHEMISTRY" is the result of investigations carried out by me at the Organic Chemistry Division of Regional Research Laboratory [CSIR], Trivandrum under the supervision of Dr. G. Vijay Nair and the same has not been submitted elsewhere for a degree.

Trivandrum

22 March 2000

Sheela SHEELA K. C.



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CERTIFICATE

This is to certify that the work contained in the thesis entitled "NOVEL DIPOLAR CYCLOADDITION REACTIONS OF 1,2-DIONES AND RELATED CHEMISTRY" has been carried out by Sheela K. C. under my supervision at the Organic Chemistry Division of Regional Research Laboratory [CSIR], Trivandrum and the same has not been submitted elsewhere for any other degree.

G. VIJAY NAIR

THESIS SUPERVISOR

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PREFACE

Heterocyclic compounds constitute one of the most important classes of organic compounds and it is estimated that approximately half of all known organic compounds, natural and unnatural, incorporate a heterocyclic structural component.

In view of the remarkable biological activity exhibited by many heterocyclic compounds, developing new synthetic methodologies for heterocyclic construction has been an area of immense interest. In recent years much attention has been focused on the application of 1,3-dipolar cycloadditions in heterocyclic synthesis. In this context 1,2-diones appeared particularly attractive due to their potential ability to undergo a range of cycloadditions. A systematic investigation of the dipolar cycloaddition reactions of various 1,2-diones such as 1,2-benzoquinones, isatins and cyclobutenediones with different dipoles and some aspects of the chemistry of the cycloadducts has been carried out and the results are presented in the thesis entitled "NOVEL DIPOLAR CYCLOADDITION REACTIONS OF 1,2-DIONES AND RELATED CHEMISTRY".

The thesis is divided into three chapters. Relevant references are given at the end of each chapter.

A general introduction to the cycloaddition chemistry with special emphasis on the dipolar cycloadditions of 1,2-diones such as 1,2-benzoquinones, isatins and cyclobutene-1,2-diones are presented in Chapter 1. A definition of the present research problem is also incorporated.

The second chapter contains the results of our systematic investigation of the dipolar cycloaddition reactions of carbonyl ylides with various 1,2-diones. The photolytic rearrangement of the cycloadducts obtained by the

reaction between 3,5-di-tert-butyl-1,2-benzoquinone and carbonyl ylides are also incorporated. General information on experimental procedure is given in this chapter.

The third chapter deals with the dipolar cycloaddition reactions of azomethine ylides with various 1,2-diones.

It may be mentioned that each chapter of the thesis is presented as an independent unit and therefore the structural formulae, schemes and figures are numbered chapterwise.

A summary of the work is given towards the end of the thesis.

ABBREVIATIONS

AM1 : Austin Method 1

br s : broad singlet

d : doublet

dd : double doublet

DMSO : Dimethyl sulfoxide

DDQ : 2,3-Dichloro-5,6-dicyano-1,4-benzoquinone
DEPT : Distorsionless Enhancement by Polarization

¹ Transfer

HOMO : Highest Occupied Molecular Orbital

HRMS : High Resolution Mass Spectrum

h : hours

IR : Infrared

LUMO : Lowest Unoccupied Molecular Orbital

m : multiplet min : minutes

mp : melting point

Me : Methyl

NMR : Nuclear Magnetic Resonance

nm : nanometer

RT : Room Temperature

o : ortho
p
p para
Ph : phenyl
s : singlet

t : triplet

TMS : Tetramethylsilane

CHAPTER 1

AN INTRODUCTION TO THE DIPOLAR CYCLOADDITION REACTIONS OF 1,2-BENZOQUINONES, ISATINS AND CYCLOBUTENEDIONES

1.1 GENERAL

The focal theme of the thesis is the dipolar cycloaddition reactions of 1,2-diones such as 1,2-benzoquinones, acenaphthenequinone, isatins and cyclobutenediones (Figure 1) with various dipoles along with some aspects of the chemistry of the cycloadducts. To put things in perspective, this chapter begins with a brief overview to the Diels-Alder type cycloadditions of 1,2-benzoquinones and this is followed by a comprehensive review of the dipolar cycloadditions of the 1,2-diones under consideration.

Figure 1

Chapter 1 2

1.2 1,2-BENZOQUINONES

Compounds with quinonoid skeleton constitute an important and interesting class of organic molecules and they serve as versatile intermediates in organic synthesis. Among the quinonoid compounds, 1,2-benzoquinonoid functionality is present in a number of biologically active natural products.^{1,2}

1,2-Benzoquinones can be prepared from phenols by oxidation with cerium(IV) sulfate in dilute acids, Fremy's salt, benzene seleninic anhydride, iodosobenzene or iodoxybenzene. The most commonly used method for the preparation of 1,2-benzoquinones involves oxidation of the corresponding catechols with appropriate oxidizing agents such as Ag₂O, Ag₂CO₃, FeCl₃, NaIO₄, MnO₂ or sodium hypochlorite in the presence of phase transfer catalyst.

1.2.1 $[4\pi+2\pi]$ Cycloaddition Reactions of 1,2-Benzoquinones

The chemistry of 1,2-benzoquinones has been a subject of great interest both from the synthetic and theoretical standpoints because these are unique conjugated 1,2-diones that can exhibit diverse modes of cycloadditions. In cycloadditions, 1,2-benzoquinones can participate as carbodiene, heterodiene, dienophile or as heterodienophile as highlighted in Figure 2.

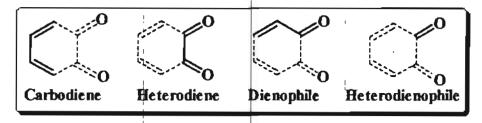


Figure 2

The electronic and steric features of the substituents on the quinone play an important role in the cycloaddition reactions of 1,2-benzoquinones. Recent investigations in our laboratory have highlighted the influence of these factors on the cycloaddition reactions of 1,2-benzoquinones.¹¹⁻¹⁴

The different types of reactivity shown by 1,2-benzoquinones in $[4\pi+2\pi]$ cycloaddition reactions are briefly outlined in the following sections.

1,2-Benzoquinone as Carbodiene

3,5-Di-tert-butyl-1,2-benzoquinone undergoes facile cycloaddition with pentafulvene 2 to afford bicyclo[2.2.2]octene dione 3 in good yield (Scheme 1).¹¹

Similarly 1,2-benzoquinones undergo inverse electron demand Diels-Alder reactions with 6-(2-phenylethenyl) fulvene. The bicyclo[2.2.2]octene diones resulting from these reactions undergo facile photolytic double decarbonylation reactions providing an efficient route to the synthesis of highly substituted indenes, which show interesting chemical and physical properties (Scheme 2).¹²

1,2-Benzoquinone as Heterodiene

1,2-Benzoquinone has a highly activated heterodiene moiety and it participates in facile Diels-Alder reaction with tetracyclone 8 leading to the formation of benzodioxin derivatives (Scheme 3).¹³

1,2 Benzoquinone as Dienophile

1,2-Benzoquinone functions as an electron deficient dienophile in its reaction with 2,3-dimethyl butadiene (Scheme 4).¹⁴

1,2-Benzoquinone as Heterodienophile

1,2-Benzoquinone can serve as a heterodienophile in cycloaddition reactions due to the presence of two activated carbonyl groups. An illustrative example is the reaction of 3,5-di-tert-butyl-1,2-benzoquinone with 1,4-diacetoxy-1,3-butadiene (Scheme 5).

1.2.2 Dipolar Cycloaddition Reactions of 1,2-Benzoquinones

The presence of two potentially dipolarophilic functionalities viz., C=C and C=O, renders 1,2-benzoquinones very interesting from the vantage point of dipolar cycloaddition. Although such reactions can potentially lead to novel heterocyclic compounds, there has been very little information available on the reaction of 1,2-benzoquinones with various dipoles; the available data is restricted to the reactions of diazomethane, nitrile oxides and certain mesoionic compounds.^{2,16}

Reaction with Diazomethane

The reaction of 3,6-di-tert-butyl-1,2-benzoquinone 15 with diazomethane has been reported to afford the corresponding indazole 16. With excess of diazomethane, the spirooxirane 17 is also formed (Scheme 6).¹⁷

$$CMe_3$$

$$CH_2N_2$$

$$Ether$$

$$CMe_3$$

Reactions with Mesoionic Compounds

Mesoionic compounds have been extensively utilized as substrates in 1,3-dipolar cycloadditions. The anhydro-5-hydroxy-1,3-oxazolium hydroxide or munchnone 19 reacts with unsubstituted 1,2-benzoquinone 18, affording the lactone 20; evidently the open chain ketene form of munchnone participates in this reaction (Scheme 7). 18

The reaction of munchnone 22 with o-chloranil 21 in CH₃CN yielded only the lactone 23, while the same reactants in benzene afforded 23 and 24 (Scheme 8).¹⁹

Interestingly, 3-methyl-5-(4-nitrophenyl)-1,3-oxazolium-4-olate 25a and 1,3-diazolium-4-olate 25b undergo [4+4] cycloaddition with o-chloranil, affording highly oxygenated heterocyclic systems 26(a-b) (Scheme 9).²⁰

Similar reactivity was observed in the reaction of thioisomunchnone 28 with phenanthrenequinone 27 as shown in Scheme 10.21

o-Chloranil reacts with 1,3-disubstituted-3,6-dihydro-6-oxopyrimidinium-4-olates 30 affording the product 31. The open chain ketene form of the dipole participates in this reaction (Scheme 11). 22,23

$$R = Me$$

a. $R = Me$
b. $R = CMe_3$

4(a-b)

Ar

Scheme 14

Reactions of 4(a-b) with 2,6-dichlorobenzonitrile oxide 37b, afforded the diadducts 39 and 40 by participation of one of the C=C and C=O bonds (Scheme 15).

1.3 ISATINS

Isatin and its derivatives have been used for the synthesis of a wide variety of compounds with interesting pharmacological activities.²⁸ Isatin is well known as an inhibitor of alkaline phosphatase activity. N-alkylated isatins act as antimicrobials,^{28a} excitatory amino acid antagonists, immunomodulators and anti-cancer drugs,^{28b} ulcer inhibitors, acetylcholinesterase inhibitors for the treatment of memory dysfunction, and reversible and competitive inhibitors of monoamine oxidase A and B.

The methods for the preparation of N-alkylated isatins have been reviewed.²⁹ It appears that reaction of isatins with alkyl halide in DMF in the presence of calcium hydride as a base is the easiest method for the preparation of N-alkyl isatins.^{28c}

The reaction of N-methyl isatin with a slight excess of diazomethane has been reported to give the hydroxy quinoline 42a. With excess of diazomethane, the epoxide 43 was isolated together with the quinolines 42a and 42b (Scheme 16).³⁰

The mechanism of these reactions does not involve a 1,3-dipolar cycloaddition, instead diazomethane acts as a nucleophile, attacking the ketonic carbonyl group of the isatin.

1.4 CYCLOBUTENE-1,2-DIONES

- 1,2-Diones such as squaric acid and its derivatives have attracted considerable attention as versatile C-4 synthons for complex carbocyclic and heterocyclic construction.³¹
- 3,4-Diphenylcyclobutene-1,2-dione 46 can be readily prepared via the dichloride 45 obtainable by treatment of squaric acid 44 with thionyl chloride and a trace amount of N,N-dimethyl formamide. This dichloro

derivative on treatment with anhydrous AlCl₃ in benzene afforded the dione 46 (Scheme 17).³²

Scheme 17

Although the chemistry of cyclobutenediones has received considerable attention,³³ very little is known about their reactivity towards dipoles, the only known reports being concerned with the reaction of diazomethane and mesitonitrile oxide.

Reaction with Diazomethane

3,4-Diphenylcyclobutene-1,2-dione 46 reacts with excess diazomethane to give 47 and 48 (Scheme 18) 34

Ph O
$$+ 3CH_2N_2$$
 Ph Ph Ph Ph OMe OMe OMe OMe Scheme 18

Reaction with Nitrile Oxide

Cyclobutene-1,2-diones, despite their weak dipolarophilic behavior towards benzonitrile oxide, on prolonged heating (30-40 h) with excess mesitonitrile oxide 37a afforded mono, bis and tris adducts (Scheme 19). Interestingly, the 1,3-dipole preferentially attacks the C=O bond.

$$X = Cl, Ph etc$$

$$X = Cl, Ph etc$$

$$37a$$

$$X = Cl, Ph etc$$

$$37a$$

$$X = Cl, Ph etc$$

$$37a$$

$$37a$$

$$37a$$

$$37a$$

$$37a$$

$$37a$$

$$37a$$

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$$37a$$

$$49$$

$$37a$$

$$37a$$

$$49$$

$$37a$$

$$37a$$

$$49$$

$$37a$$

$$37a$$

$$49$$

Scheme 19

1.5 THEORETICAL CONSIDERATIONS

The Woodward-Hoffmann orbital symmetry rules apply only to concerted reactions and are based on the principle that a reaction takes place in such a way as to maintain maximum bonding throughout the course of the reaction. 36a

The rate of a Diels-Alder reaction is determined largely by the degree of interaction between the highest occupied molecular orbital (HOMO) of one component and the lowest unoccupied molecular orbital (LUMO) of the other. The smaller the energy separation between these orbitals, the more readily the reaction proceeds. Electron withdrawing substituents on the double bond of the dienophile in a normal Diels-Alder reaction facilitate the reaction by lowering the energy of the LUMO and thus decreasing energy separation between LUMO of the dienophile and the HOMO of a given diene. Electron donating substituents on the diene accelerate the reactions by raising the energy level of the HOMO.

1,3-Dipolar Cycloaddition Reactions^{366,2}

The 1,3-dipole is defined as a species that is represented by zwitterionic octet structures and undergoes 1,3-cycloaddition to a multiple-bond system, the dipolarophile.

Chapter 1

All 1,3-dipoles, in common, have a three atomic π orbital system containing four electrons analogous to an allyl anion. The 1,3-dipoles contain an -onium center atom b, whose charge compensates the negative charge distributed in the two all octet structures over the two termini a and c and the whole system can be considered as a heteroallyl anion, which bears no net charge (Scheme 20).

Octet structures
$$a \stackrel{\oplus}{>} b \stackrel{\ominus}{\sim} c$$

Scheme 20

1,3-Dipolar cycloadditions are single step, four centered, concerted reactions, in which the two new σ bonds are formed simultaneously and are susceptible to electronic and steric influences, which affect the nature of the transition state. This is a 'thermally allowed' process on the basis of the Woodward-Hoffmann rules.

Depending on the relative disposition of 1,3-dipole and dipolar ophile, 1,3-dipolar cycloadditions are classified into three types (Figure 3).

- 1. HOMO-controlled, in which the interaction of the dipole HOMO with dipolarophile LUMO is greatest.
- 2. Both HOMO and LUMO controlled, which involves large interaction between both frontier orbitals.
- 3. LUMO-controlled, in which the interaction of the dipole LUMO with the dipolarophile HOMO is greatest.

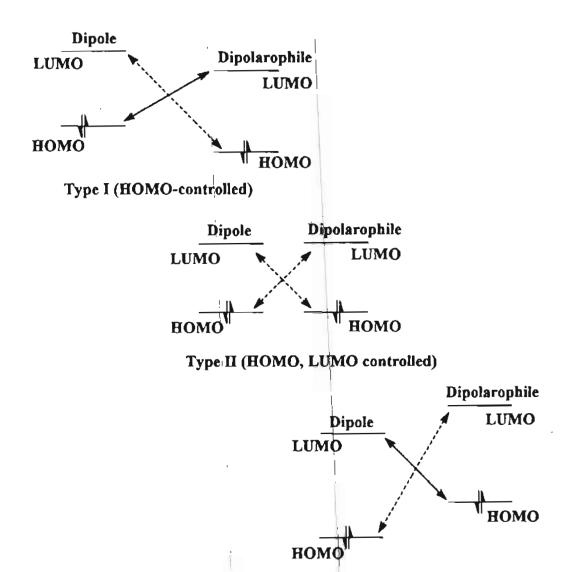


Figure 3. Sustmann's classification of 1,3-dipolar cycloadditions

Type III (LUMO-controlled)

The substituents that raise the dipole HOMO energy or lower the dipolarophile LUMO energy will accelerate HOMO-controlled reactions and decelerate LUMO-controlled reactions. Conversely, substituents, which lower the dipole LUMO energy or raise the dipolarophile HOMO energy, will accelerate LUMO-controlled reactions and decelerate HOMO-controlled reactions. HOMO, LUMO controlled reactions will be accelerated by an increase of either frontier orbital interaction.

The HOMOs and LUMOs of heterodienophiles (Figure 4) will, in general be located at energy levels similar to those of electron deficient dipolarophiles. All 1,3-dipoles have the larger coefficient at the anionic terminus in the HOMO and at the neutral terminus in the LUMO.

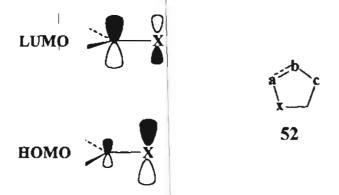


Figure 4. Frontier orbitals of heterodipolarophiles

Both of these interactions as well as the better overlap of carbon with carbon than with oxygen or nitrogen lead to preferential formation of 52.³⁷ Coulombic and closed shell repulsion effects will also favor the formation of 52.

1.6 STATEMENT OF THE PROBLEM

It is clear from the literature survey presented above that the information available on the dipolar cycloadditions of 1,2-benzoquinones with various dipoles is very limited. Barlier studies in our laboratory have unraveled the novel reactivity patterns of 1,2-benzoquinones towards aryl nitrile oxides.²⁷ A subject of continuing interest in this area has been the systematic investigation of the dipolar cycloaddition reactions of various 1,2-diones with different dipoles such as carbonyl ylides and azomethine ylides and the author's work in this area constitutes the subject matter of this

thesis. The 1,2-diones selected for our investigations are 1,2-benzoquinones, acenaphthenequinone, isatins and cyclobutene-1,2-diones (Figure 1).

The first phase of the present investigations was mainly concerned with the dipolar cycloaddition reactions of carbonyl ylides with these 1,2-diones. It is noteworthy that the dipolar cycloaddition reactions of carbonyl ylides with 1,2-dicarbonyl compounds have not been previously reported in the literature. The photochemical rearrangement of the cycloadducts resulting from the dipolar cycloaddition reactions of 3,5-di-tert-butyl-1,2-benzoquinone with different substituted carbonyl ylides was also investigated.

The second and final phase of the investigations involved the dipolar cycloaddition reactions of azomethine ylides with 1,2-diones.

Details of these studies are presented in the following chapters.

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CHAPTER 2

DIPOLAR CYCLOADDITION REACTIONS OF CARBONYL YLIDES WITH 1,2-DIONES

2.1 INTRODUCTION

The 1,3-dipolar cycloadditions offer one of the most convergent approaches for the construction of five membered heterocycles. The ease of generation of 1,3-dipoles, coupled with the highly regio and stereoselective nature of their cycloaddition reactions has resulted in a number of syntheses which utilize such a reaction as key step. The stereoselective synthesis of highly substituted oxygen heterocycles, especially structurally complex tetrahydrofurans and tetrahydropyrans, has attracted considerable attention in recent years. Conceptually the 1,3-dipolar cycloaddition of carbonyl ylides to π-bonds represents an attractive strategy for tetrahydrofuran formation (Scheme 1).

Carbonyl ylides can be generated by a number of methods.⁵⁻¹⁰ The transition metal-catalyzed decomposition of an α-diazo ketone 4 in the presence of a carbonyl functionality 5 provides the simplest and the easiest route to these dipoles 6 (Scheme 2).¹¹⁻¹⁵

RCOCHN₂ +
$$O = \begin{matrix} R_1 \\ R_2 \end{matrix}$$
 Transition RCO $O \cap R_1$

$$\downarrow R_2 \end{matrix}$$
4 5 6
Scheme 2

The earliest example of the reaction of a carbonyl ylide involves the demonstration by Kharasch that ethyl diazoacetate 8 undergoes decomposition in cyclohexanone to afford the enol ether 10 and the 2:1 adduct 11 of cyclohexanone and ethoxycarbonylcarbene (Scheme 3). 16

Copper-catalyzed decomposition of dimethyl diazomalonate in the presence of excess benzaldehyde, leads to the formation of two diastereomeric dioxolanes 14 and 15, and the epoxide 16 (Scheme 4). 16c,d

Among all the catalysts that have been developed for carbene addition to π bonds, rhodium(II) carboxylates are the most effective for bimolecular reactions that employ diazo carbonyl compounds.¹⁷ In general, the reactions can be carried out under mild conditions, often at 10 °C and the products are obtained in high yields.^{18,19}

The rhodium(II) catalyzed decomposition of diazo carbonyl compounds is believed to involve a metallo-carbenoid intermediate 18 which retains the highly electrophilic properties associated with free carbenes.^{20,21} Therefore, in an appropriate acyclic substrate, such an intermediate can be intercepted intramolecularly by the nonbonding electrons on the neighboring carbonyl to effect overall cyclization (Scheme 5).

The two types of diazo ketones, which can undergo tandem cyclization-cycloaddition chemistry,²² are shown in Scheme 6. First one

Chapter 2 23

involves systems in which the diazo ketone and the remote carbonyl are attached in a 1,2-fashion on a benzene ring 20.²³ This arrangement provides interatomic distances and bond angles that are ideal for dipole formation and the second system involves the 1-diazo-2,5-pentanedione backbone as the target.^{24,25} With this system, the ylide 19 was formed by reaction of the less nucleophilic ketonic carbonyl on the rhodium carbenoid center. The tether utilized corresponds to a simple dimethylene chain, which introduces a certain conformational flexibility not available to the more rigid benzo systems.

CHN₂ Rh(II)
$$A=B$$
 XR
 X

The carbonyl ylides generated by the tandem intramolecular carbenoid-carbonyl cyclizations are known to react with both external and internal dipolarophiles including acetylenic and olefinic dipolarophiles, such as dimethyl acetylenedicarboxylate and N-phenylmaleimide.²⁵

An attractive feature of the above tandem cyclization-cycloaddition process is the opportunity to control the stereochemistry of the product at several centers. The final product represents a highly functionalized rigid

bicyclic system that is amenable to subsequent synthetic elaboration.

Application of this methodology to the synthesis of natural products is well established. 26-29

Padwa has reported the formation of a seven membered carbonyl ylide intermediate,³⁰ where the connecting chain contains three methylene units. The rhodium(II) acetate catalyzed reaction of 1-diazo-6-phenyl-2,6-hexanedione 24 with dimethyl acetylenedicarboxylate 25 afforded a 2:1 mixture of products. The major product corresponds to the expected cycloadduct 26 (45%) whereas the minor component is identified as the cycloheptatriene 27 (22%) (Scheme 7).

The formation of a mixture of products indicates that extending the tether to three methylene groups sufficiently retards the rate of intramolecular cyclization so as to allow the bimolecular reaction with benzene to occur. The minor component 27 is derived from a bimolecular addition of the rhodium carbenoid on to benzene, followed by ring tautomerization.

Padwa has also reported a 'dipole cascade process' which interconverts α-diazo ketones 28 to azomethine ylides 30 via the intermediacy of carbonyl ylides 29 (Scheme 8).³¹

Rh(II) acetate catalyzed transformation of the closely related α -diazo ketoamide 31 to the ylide 32 and its cycloaddition to dimethyl acetylenedicarboxylate was also reported (Scheme 9).³²

$$R_{1}$$
 R_{2} R_{1} R_{2} R_{2} R_{3} R_{2} R_{2} R_{3} R_{4} R_{2} R_{3} R_{4} R_{5} R_{2} R_{4} R_{5} R_{5

Cycloaddition with Aldehydes

There is only one report on the trapping of this transient dipole with carbonyl compounds such as aldehydes (Scheme 10).³³

In the case of 17b, trace amount of higher order cyloadduct derived by further dipolar cycloaddition of the carbonyl ylide across the keto group of the initially formed 1:1 cycloadduct was also formed.

2.1.1 The Present Work

It is noteworthy that, although Padwa has studied the reactions of carbonyl ylides with electron rich and electron deficient dipolarophiles including benzaldehyde and alkyl aldehydes, there has been no work on the addition of carbonyl ylides to 1,2- and 1,4-diones.

Against the literature background presented above and in the context of the general interest in the chemistry of 1,2-diones,³⁴ especially their reactivity towards dienes, dienophiles and dipoles, it was obligatory to explore the cycloaddition reactions of carbonyl ylide dipoles with various 1,2-diones.

We have undertaken a detailed investigation of the cycloaddition reactions of various 1,2-diones such as substituted 1,2-benzoquinones, acenaphthenequinone and isatins with different carbonyl ylides and our results are discussed in this chapter. Preliminary results of our studies on the cycloaddition reactions of carbonyl ylide with a 1,4-dione are also presented.

The 1,2-diones and the α -diazo ketones selected for our investigations are shown in Figures 1 and 2 respectively.

 $d. R = R_1 = H$

e. R = H, $R_1 = Br$

$$R_1$$
 R_2 R_3 R_1 R_2 R_3 R_4 R_5 R_5

Figure 1

Figure 2

2.2 RESULTS AND DISCUSSION

d. $R_1 = R_3 = H$, $R_2 = CMe_3$

c. $R = (C_5H_5)_2Fe$ d. $R = p-C_6H_4-CH_3$ e. $R = p-C_6H_4-OCH_3$

e. $R_1 = OMe$, $R_2 = R_3 = CHPh_2$

2.2.1 Dipolar Cycloaddition Reactions of Carbonyl Ylides with 1,2-Benzoquinones

The diazo ketones required for our investigations were conveniently prepared from the corresponding carboxylic acids by the known procedure (Scheme 11).³³

Our studies were initiated with the Rh(II) acetate catalyzed cycloaddition reaction of 1-diazo-5-phenyl-2,5-pentanedione 17a with 3,5-di-tert-butyl-1,2-benzoquinone 36a. This reaction proceeded smoothly to afford a yellow crystalline product 43 in 76% yield (Scheme 12).

The structure of the product was assigned on the basis of spectral data. The IR spectrum of 43 showed two strong bands at 1735 and 1708 cm⁻¹ due to C-4 and C-11 carbonyls respectively. In the ¹H NMR spectrum, the phenyl protons appeared as a multiplet centered at δ 7.57. The C-7 proton resonated as a doublet at 5.85 (1H, J=2.1 Hz) and the C-9 proton appeared as a doublet at δ 6.76 (1H, J=2.1 Hz) due to allylic coupling. The bridgehead proton on C-5 appeared as a singlet at δ 4.58. The methylene protons

resonated as a multiplet centered at δ 2.54. The singlets at δ 1.18 and 1.11 were assigned to the two tert-butyl groups. In the ¹³C NMR spectrum, the two carbonyl signals were observed at δ 201.85 and 199.33 and signals due to the two bridgehead carbons C-1 and C-5 appeared at δ 110.51 and 82.08 respectively. The characteristic spiro carbon C-6 was discernible at δ 87.90. All the other signals were in agreement with the proposed structure. Satisfactory elemental analysis was also obtained. Finally the structure assigned was confirmed unequivocally by single crystal X-ray determination (Figure 3).

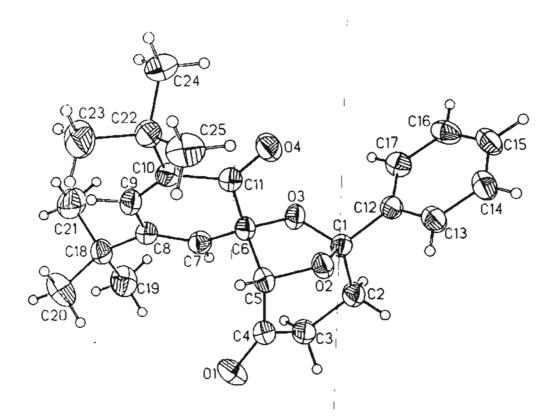


Figure 3. X-ray crystal structure of 43

Similarly 36a and 3-methoxy-4,6-di-tert-butyl-1,2-benzoquinone 36b underwent facile cycloaddition with other substituted diazo ketones, yielding the spiro acetals (44-47). The results obtained are summarized in Table 1.

Table 1. Cycloaddition reactions of carbonyl ylides with o-quinones 36(a-b)

Entry	1,2-Benzoquinone	Diazo ketone	Product	Yield (%)a
1	CMe₃	CH ₃ O CHN ₂	Me ₃ C O O O O O	CH ₃ 53
2	Me ₃ C 36a	17b R O CHN ₂ O # (C5H5) ₂ Fe 17c	Me ₃ C 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Fe 42
3	CMe ₃	Ph O CHN ₂ 0	Me ₃ C O O O O O O O O O O O O O O O O O O O	Ph 63
4	Me ₃ C O OMe 36b	CH ₃ O CHN ₂ 0 17b	Me ₃ C O	CH₃ 48
		1,0	• •	

Reaction conditions: Rh 2(OAc)4, Toluene, Argon, RT, 30 min. alsolated yield.

The cycloadducts (44-47) were characterized by spectroscopic methods. All these compounds showed two carbonyl absorptions each in the IR spectrum and they showed typical proton and carbon signals in the ¹H and ¹³C NMR spectra.

Reactions of 3-methoxy-4-tert-butyl-1,2-benzoquinone 36c and 4-tert-butyl-1,2-benzoquinone 36d with the diazo ketone 17a furnished similar spiro oxabicyclic derivatives. The results are given in Table 2.

Table 2. Cycloaddition reactions of carbonyl ylide with o-quinones 36(c-d)

Entry	1,2-Benzoquinone	Diazo ketone	Product	Yield (%)a
1	Me ₃ C OCH ₃	Ph	Me ₃ C OMe O	Ph 48
2	36c Me ₃ C 0	CHN ₂ O 17a	48 O 10 11 0 11 0 0 11 0 0 11 0 0 0 0 0 0 0 0 0 0 0 0 0	7 Ph 55
	36d		49	

Reaction conditions: Rh 2(O/Ac)4, Toluene, Argon, RT, 30 min. aIsolated yield.

The structure of the product in each case was ascertained from the spectral data. The IR spectrum of 48 showed two carbonyl absorptions at 1732 and 1682 cm⁻¹. Compound 48 showed typical 1 H and 13 C NMR signals as in 43. The doublets at δ 6.79 (1H, J = 7.3 Hz) and 5.33 (1H, J = 7.3 Hz) were assigned to the C-9 and C-10 protons respectively. The two carbonyl carbons resonated at δ 205.92 and 195.81 in the 13 C NMR spectrum. The signal due to the spiro carbon was discernible at δ 88.98. Cycloadduct 48 gave satisfactory elemental analysis also.

In the IR spectrum of 49, the two carbonyls absorbed at 1735 and 1691 cm⁻¹. In the ¹H NMR spectrum, the bridgehead proton appeared as a

singlet at δ 4.57. The olefinic proton on C-9 displayed a double doublet at δ 7.05 (1H, J = 2.3 Hz and 10.2 Hz) whereas the C-7 and C-10 protons resonated as separate doublets at δ 5.90 (1H, J = 2.0 Hz) and 6.02 (1H, J = 10.2 Hz) respectively. The ¹³C NMR spectrum showed two signals at δ 201.39 and 198.25 corresponding to C-4 and C-11 carbonyl groups respectively.

3-Methoxy-4,6-bis(1,1-diphenylmethyl)-1,2-benzoquinone 36e on treatment with the diazo ketone 17a in the presence of Rh(II) afforded a mixture of regioisomers in 77% yield (Scheme 13).

i. Rh₂(OAc)₄, Toluene, Argon, RT, 30 min Scheme 13

The products were separated by silica gel column chromatography and characterized by spectral data. The IR spectrum of 50 showed two strong bands at 1732 and 1675 cm⁻¹ due to the C-4 and the C-11 carbonyl groups respectively. In the ^{1}H NMR spectrum, the bridgehead proton resonated as a singlet at δ 4.70. The signal due to the methoxy protons appeared as a sharp singlet at δ 3.13. The singlet at 6.42 is due to the C-9 proton. The ^{13}C NMR spectrum with resonance signals at δ 204.78 and 196,58 also revealed the presence of two carbonyls.

The IR spectrum of 51 showed two strong bands at 1739 and 1695 cm⁻¹ due to the C-4 and C-11 carbonyl groups respectively. In the ^{1}H NMR spectrum, the C-8 proton resonated as a singlet at δ 6.29. The signal due to the bridgehead proton appeared as a singlet at δ 4.38 and the methoxy protons at δ 3.67. The ^{13}C NMR spectrum also showed the presence of two carbonyl groups at δ 203.82 and 195.99.

A similar reaction was observed with 1,2-naphthoquinone 37 and the carbonyl ylide 17a leading to the mixture of regioisomers 52 and 53 in 45% yield (Scheme 14). The major product isolated was the cycloadduct 53 (39%).

i. Rh₂(OAc)₄, Toluene, Argon, RT, 40 min Scheme 14

The IR spectrum of 52 showed two carbonyl absorptions at 1729 and 1688 cm⁻¹. In the ¹H NMR spectrum, the bridgehead proton resonated as a singlet at δ 4.51. The C-7 and C-8 protons appeared as doublets at δ 6.11 (1H, J = 9.8 Hz) and 6.52 (1H, J = 9.8 Hz) respectively. In the ¹³C NMR spectrum, the C-4 carbonyl was visible at δ 203.83 and the other carbonyl at 190.40. The signals due to the two methylene carbons C-2 and C-3 were discernible at δ 33.43 and 34.57 respectively. All the other signals were in agreement with the proposed structure.

The IR spectrum of 53 showed two carbonyl absorptions at 1731 and 1694 cm⁻¹. In the ¹H NMR spectrum, the bridgehead proton resonated as a singlet at δ 4.52. The C-8 and C-9 protons resonated as doublets at δ 6.07 (1H, J = 9.9 Hz) and 6.71 (1H, J = 9.9 Hz) respectively. In the ¹³C NMR spectrum, the two carbonyls C-4 and C-7 resonated at δ 201.17 and 195.96 respectively.

Subsequent to the above investigations, we turned our attention to the generation of a seven membered carbonyl ylide and its reactivity towards 1,2-benzoquinones. Treatment of the diazo ketone 24 with 3,5-di-tert-butyl-1,2-benzoquinone 36a in the presence of Rh(II) acetate resulted in the formation of a yellow solid 55 in 37% yield. Trace amount of 3,5-di-tert-butyl-catechol was also observed in the reaction mixture (Scheme 15).

i. Benzene, Argon, RT, 60 min Scheme 15

The structure of the adduct 55 was elucidated by spectroscopic methods. The IR spectrum of 55 showed two carbonyl absorptions at 1708 and 1695 cm⁻¹. In the ¹H NMR spectrum, the C-8 and C-10 protons appeared as doublets at δ 5.69 (1H, J = 2.0 Hz) and 6.70 (1H, J = 2.0 Hz) respectively. The singlet at δ 4.53 was assigned to the bridgehead proton. In the ¹³C NMR spectrum, the two carbonyl signals were visible at δ 210.89 and 198.89. The

presence of three methylene carbons has been confirmed by DEPT-135 NMR experiments. All the other signals were in agreement with the assigned structure.

2.2.2 Theoretical Calculations

In order to explain the observed mode of cycloaddition and regioselectivity in the above reactions, we have carried out some AM1 calculations using PC SPARTAN Graphical Interface Package for Molecular Mechanics and Molecular Orbital Models.³⁶ The correlation diagram for the reaction of 3,5-di-tert-butyl-1,2-benzoquinone 36a with the carbonyl ylide 17a is illustrated as an example in Figure 4.

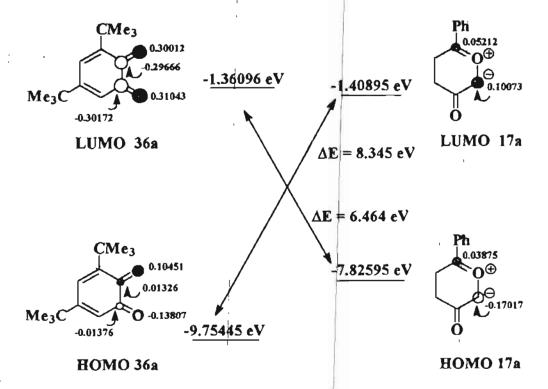


Figure 4. Molecular orbital correlation diagrams of 3,5-di-tert-butyl-1,2-benzoquinone 36a and the carbonyl ylide 17a.

Frontier molecular orbital theory correctly rationalizes the regiochemistry of the product in this 1,3-dipolar cycloaddition. The most

favorable FMO interaction is between the HOMO of the dipole and the LUMO of the dipolarophile. The HOMO(36a)-LUMO(17a) interaction is unimportant due to large energy gap.

2.2.3 Dipolar Cycloaddition Reactions of Carbonyl Ylides with Acenaphthenequinone

In the second phase of our investigations, cycloaddition of carbonyl ylides with acenaphthenequinone was undertaken. Acenaphthenequinone 38 on treatment with the diazo ketone 17a, derived from 3-benzoyl propionic acid in the presence of a catalytic amount of Rh(II) acetate at room temperature under argon atmosphere underwent facile cycloaddition to afford a mixture of isomers 56 and 57 in the ratio 1.3:1 (Scheme 16). These were separated by silica gel column chromatography.

i. Rh2(OA¢)4, Toluene, Argon, RT, 3 h

Scheme 16

The products were characterized on the basis of spectral data. The IR spectrum of 56 showed a strong band at 1730 cm⁻¹ due to overlapping of the C-4 and C-7 carbonyl groups. In the ^{1}H NMR spectrum, the bridgehead proton on C-5 resonated as a singlet at δ 4.54. In the ^{13}C NMR spectrum, the signals due to the two carbonyls C-4 and C-7 appeared at δ 202.34 and

198 25 respectively. The characteristic spiro carbon signal was discernible at δ 86.79. The two bridgehead carbons C-1 and C-5 resonated at δ 111.22 and 87.60 respectively. All the other signals were in agreement with the assigned structure. Finally the structure was confirmed unambiguously by single crystal X-ray structure determination (Figure 5).

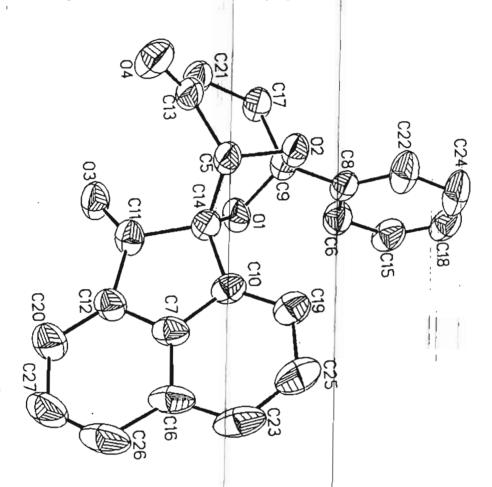


Figure 5. X-ray crystal structure of 56

The IR spectrum of 57 showed strong band at 1730 cm⁻¹ due to overlapping of the two carbonyl groups. In the ¹H NMR spectrum, the bridgehead proton on C-5 appeared as a singlet at δ 4.63. The signal due to the four methylene protons appeared as separate multiplets centered at 2.85 and 2.71, integrating for two protons each. In the ¹³C NMR spectrum, the

two carbonyls resonated at δ 202.72 and 199.36 and the bridgehead carbons were discernible at δ 110.51 and 85.25. The spiro carbon displayed a characteristic signal at δ 98.12 and the two methylene carbons were visible at δ 33.90 and 33.56. Final proof for the stereochemistry of the cycloadduct 57 was obtained by single crystal X-ray analysis (Figure 6).

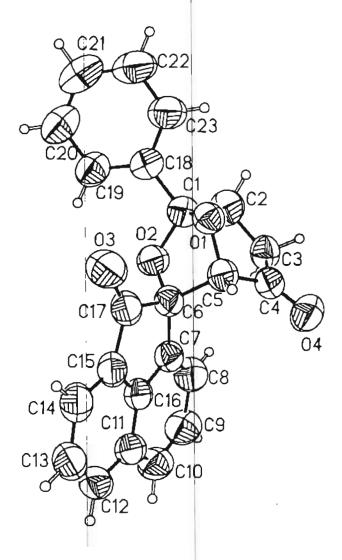


Figure 6. X-ray crystal structure of 57

Similarly, acenaphthenequinone underwent smooth cycloaddition with other substituted diazo ketones yielding the spiro oxobicyclic derivatives in good yields. These results are summarized below (Table 3).

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Table 3. Cycloaddition reactions of carbonyl ylides with 38

Entry 1,2-Dione	Diazo ketone	Pro	ducts	Yield (%)a
	R O CHN_2 O $R = p-C_6H_4-CH_3$ $17d$	0000	P 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	R 68 (2.8:1)
2	$ \begin{array}{c} R\\O\\CHN_2\\O\\R=p\text{-}C_6H_4\text{-}OCH_3\\17e\end{array} $	00000	O + O + O 61	R 61 (1:1.6)

Reaction conditions: Rh 2(OAc)4, Toluene, Argon, RT, 3 h. alsolated yield. Ratio of isomers are given in paranthesis.

The cycloadducts 58-61 showed spectroscopic data comparable to those of 56 and 57.

Attempted reaction between the diazo ketone 40 and acenaphthenequinone in the presence of Rh(II) acetate did not succeed; a dimer 63 derived from head-to-tail coupling of the transient carbonyl ylide dipole together with unreacted acenaphthenequinone was isolated from this reaction (Scheme 17). As expected, the blank reaction also gave the dimer 63.

The product was characterized on the basis of spectral data. The IR spectrum of 63 showed strong carbonyl absorption at 1701 cm⁻¹. In the 1 H NMR spectrum, the bridgehead protons resonated as a sharp singlet at δ 4.23. In the 13 C NMR spectrum, the signal due to the carbonyl group appeared at δ 197.82. Satisfactory elemental analysis was also obtained.

Scheme 17

2.2.4 Dipolar Cycloaddition Reactions of Carbonyl Ylides with Isatins

Subsequently, we focused our attention on the cycloaddition profile of carbonyl ylide with isatin. 1-phenylisatin 39a when treated with the diazo ketone 17a and a catalytic amount of Rh(II) acetate in dry toluene at ambient temperature under argon atmosphere for 3 h afforded the adduct 64 in 75% yield along with <10% of 65 (Scheme 18).

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i. Rh₂(OAc)₄, Toluene, Argon, RT, 3 h Scheme 18

The products were separated by silica gel column chromatography and characterized by spectral analysis. The IR spectrum of 64 showed a strong band at 1733 cm⁻¹ due to overlapping of the C-4 and C-7 carbonyl groups. In the ¹H NMR spectrum, the bridgehead proton resonated as a singlet at δ 4.63. One of the four methylene protons appeared as a separate multiplet in the region δ 3.38 while the other three protons were discernible as multiplet centered at 2.61. In the ¹³C NMR spectrum of 64, the spiro carbon signal appeared at 83.04. The C-4 carbonyl was observed at δ 202.40 and the lactam carbonyl at δ 171.04. The bridgehead carbons C-1 and C-5 resonated at δ 109.77 and 87.61 respectively. The two methylene carbons C-2 and C-3 gave signals at δ 33.73 and 35.55 respectively. Finally the structure assigned was established unequivocally by single crystal X-ray analysis (Figure 7).

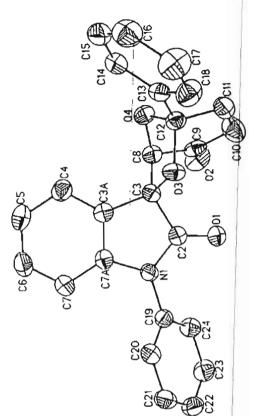


Figure 7. X-ray crystal structure of 64

The IR spectrum of 65 showed two carbonyl absorptions at 1718 and 1684 cm^{-1} . In the ^{1}H NMR spectrum, the characteristic bridgehead proton resonated as a singlet at δ 4.84. The resonance signals of the four methylene protons were visible as a multiplet centered at δ 2.81. In the ^{13}C NMR spectrum of 65, the signal due to the C-4 carbonyl was discernible at δ 202.60 and the lactam carbonyl at δ 173.52. The characteristic spiro carbon signal was observed at δ 81.64 and the bridgehead carbons C-1 and C-5 were visible at δ 110.39 and 85.34 respectively. The two methylene carbons appeared at δ 33.28 and 33.04. All the other signals were in agreement with the assigned structure.

Similar reactivity of the carbonyl ylide 17a was observed with other isatins 39(b-e) yielding the spiro oxindoles as a single isomer in good yields and the results are summarized in Table 4.

Table 4. Cycloaddition reactions of carbonyl ylide with isatins 39(b-e)

Entr	y Isatin	Diazo ketone	Produc		Yield (%)a
1	O N Bn		O	Ph 0 0	78
2	39b O CH ₃ 39c	Ph O CHN ₂	N Bn CH		83
3	39d	Ö 17a	N-H	Ph O O 68	71 (80)
4	Br O N H	0	Br N	Ph 0 0 69	70 (81)

Reaction conditions: Rh₂(OAc)₄, Toluene, Argon, RT, 3 h. alsolated yield. Yield based on recovered isatins is given in paranthesis.

The cycloadducts 66-69 were characterized by spectroscopic methods. All these compounds showed typical proton and carbon signals in the ¹H and ¹³C NMR spectra. In the ¹H NMR spectra of 68 and 69, the -NH protons

resonated as singlets at δ 10.53 and 10.51 (exchangeable with D₂O) respectively.

Similarly, 1-phenylisatin underwent smooth cycloaddition with other substituted diazo ketones yielding the spiro oxindoles and the results are summarized in Table 5.

Table 5. Cycloaddition reactions of the diazo ketones 17(c-e) with 39a

Entry	Isatin Diazo ketone	Product	Yield (%)a
1 .	R O CHN ₂ $R = (C_5H_5)_2Fe$	0	33 (45)
2	O R O CHN ₂	N Ph	70 51 (59)
3	R = p -C ₆ H ₄ -CH ₃ 17d R O CHN ₂	N-Ph	39 (45)
	$ \begin{array}{c} $	N Ph	72

Reaction conditions: Rh 2(OAc)4, Toluene, Argon, RT, 3 h. alsolated yield. Yield based on recovered isatin is given in paranthesis.

Diagnostic spectral data were obtained for the cycloadducts 70-72. All these compounds also gave satisfactory high resolution mass.

The 1,2-diones 73-76 failed to give any cycloadduct with carbonyl ylides. In all these cases, the diones were recovered quantitatively (Figure 8).

R = Ph, p-Tolyl etc

73

$$R = Ph, p-Tolyl etc$$

74

 $R = Ph, p-Tolyl etc$

75

 $R = Ph, p-Tolyl etc$

76

Figure 8

2.2.5 Dipolar Cycloaddition Reaction of Carbonyl Ylide with 1,4-Naphthoquinone

In view of the interesting results obtained in the dipolar cycloaddition reactions of carbonyl ylides with various 1,2-diones, some preliminary studies were conducted with 1,4-diones as exemplified by 1,4-naphthoquinone 77.

Rh(II) acetate catalyzed cycloaddition of 1-diazo-5-phenyl-2,5-pentanedione 17a with 77 proceeded smoothly to afford a colorless crystalline product 78 in 30% yield (Scheme 19).

i. Rh₂(OAc)₄, Toluene, Argon, RT, 3 h
Scheme 19

The structure of the product was elucidated by spectroscopic methods. The IR spectrum of 78 showed two strong bands at 1738 and 1688 cm⁻¹ due to the carbonyl groups. In the ¹H NMR spectrum, the proton on C-2 and C-8 resonated as doublets at δ 3.63 (1H, J = 8.1 Hz) and δ 3.86 (1H, J = 8.1 Hz) respectively. The bridgehead proton on C-7 appeared as a singlet at δ 5.08. In the ¹³C NMR spectrum, the three carbonyls resonated at δ 205.27, 194.47 and 192.92. The signal at δ 96.06 was assigned to the C-3 carbon. The two methylene carbons appeared at δ 36.88 and 32.68. The presence of these two methylene carbons has been confirmed by DEPT-135 NMR studies. All the other signals were in agreement with the assigned structure. The structure was finally established unequivocally by single crystal X-ray analysis (Figure 9).

Figure 9. X-ray crystal structure of 78

The product of cycloaddition of carbonyl ylide with 1,4-naphthoquinone is a novel heterocyclic compound which is potentially amenable to a number of synthetic transformations. Further studies of the cycloaddition of different 1,4-diones and carbonyl ylides are currently being explored in detail by other members of our group.

2.3 Photochemical Rearrangement of the cycloadducts

The photolysis of the cycloadduct 43 in CH₃CN in a Rayonet Photochemical Reactor using quartz filter at 350 nm afforded a colorless crystalline product in 94% yield. This has been characterized as 79 (Scheme 20).

i. hv, CH₃CN, Argon, 12 min Scheme 20

The IR spectrum of 79 showed two strong carbonyl absorptions at 1741 and 1689 cm⁻¹ due to the C-4 and C-8 carbonyls respectively. In the ¹H NMR spectrum, the aromatic protons appeared as a multiplet centered at 8 7.43 integrating for five protons. The C-10 proton displayed a sharp singlet at 8 7.01. The signals due to the bridgehead protons on C-5 and C-7 appeared as sharp singlets at 8 4.39 and 2.65 respectively. The sharp singlet

at δ 1.16 and 1.01 are due to the two *tert*-butyl groups. In the ¹³C NMR spectrum, the spiro carbon resonated at δ 85.44. The signals due to the two carbonyls C-4 and C-8 appeared at δ 200.28 and 198.74 respectively and the two bridgehead carbons C-1 and C-5 were observed at δ 110.90 and 80.29 respectively. The C-7 carbon was discernible at δ 46.77. All the other signals were in agreement with the assigned structure. Finally the structure assigned was confirmed unequivocally by single crystal X-ray determination (Figure 10).

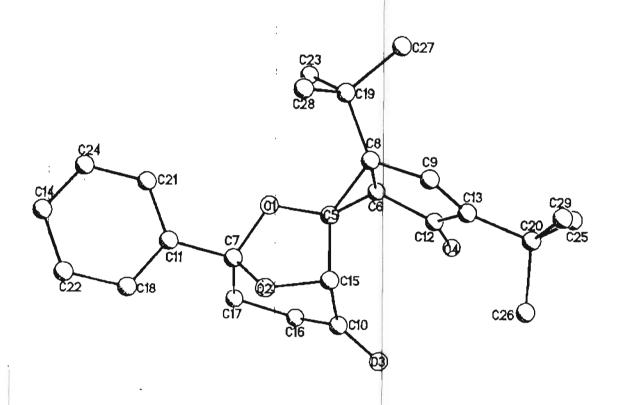


Figure 10. X-ray crystal structure of 79

A mechanistic rationalization as outlined in Scheme 21 may be invoked for the photolytic rearrangement of the cycloadduct 43. The preferred pathway involves a bond-switching mechanism, probably via the $\pi\pi^*$ singlet state.³⁷

Scheme 21

Similarly the cycloadduct 44, when subjected to photolysis in acetonitrile in a quartz tube at 350 nm, using a Rayonet Photochemical Reactor afforded a compound which was identified as 80 (74%) on the basis of spectral data (Scheme 22).

Scheme 22

The IR spectrum of 80 showed two strong bands at 1736 and 1688 cm⁻¹ due to the C-4 and C-8 carbonyls respectively. The ¹H NMR spectrum exhibited two signals at δ 1.14 and 1.13 integrating for eighteen protons, corresponding to the two *tert*-butyl groups. The signal at δ 4.20 has

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been assigned to the bridgehead proton on C-5. The methyl proton was discernible at δ 1.60. The olefinic proton C-10 displayed a singlet at δ 7.02. The signal due to the C-7 proton was discernible at δ 2.53 as a sharp singlet. The ¹³C NMR spectrum showed two signals at δ 200.28 and 199.00 corresponding to the C-4 and C-8 carbonyl groups respectively. All the other signals were comparable to those of 79.

In conclusion, it has been shown that carbonyl ylides undergo facile cycloaddition to 1,2-diones thus offering an efficient method for the synthesis of novel spiro oxabicyclic derivatives. In all cases the cycloaddition is highly regio and stereoselective. Interestingly in the case of 1,2-benzoquinones, the ylide preferentially adds to the more electron deficient of the two carbonyls of each quinone. Such preference is precedent in the reactivity of dicarbonyl compounds towards dipolar species.³⁴ In the case of 1,2-benzoquinones 36e and 37, mixtures of regioisomers are obtained. The reaction of carbonyl ylide with acenaphthenequinone proceeds in a highly stereoselective fashion. With isatins too, the reaction is regio and stereoselective and affords novel spiro oxindole derivatives in good yields. It is anticipated that the products of cycloaddition may exhibit some interesting biological properties. It has been shown that the cycloadducts obtained by the reaction of 3,5-di-tert-butyl-1,2-benzoquinone with the carbonyl ylides 19(a-b) undergo facile photochemical rearrangement.

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2.4 EXPERIMENTAL DETAILS

All the reactions were carried out in oven dried glasswares under an atmosphere of argon unless otherwise mentioned. Melting points were recorded on a Toshniwal or Büchi-530 melting point apparatus and are uncorrected. The IR spectra were recorded on Bomem MB series FT-IR spectrophotometer, using potassium bromide pellets. NMR spectra were recorded on Bruker-300 MHz FT NMR spectrometer using chloroform-d as the solvent unless otherwise mentioned. The chemical shifts are given in the δ scale with tetramethylsilane as internal standard. Elemental analyses were carried out using Perkin-Elmer 2400 CHN analyzer. High-resolution mass spectra were done using Finnigan MAT model 8430 instrument. Solvents used for experiments (toluene, benzene, acetonitrile and ether) were distilled and dried according to literature procedures.

Analytical thin layer chromatography was performed on glass plates coated with silica gel (E. Merck) containing 13% calcium sulfate as the binder. Purification by gravity column chromatography was carried out using silica gel (100-200 mesh). Mixtures of ethyl acetate and petroleum ether (60-80 °C) or hexane were used as eluent. The solvents were removed using a Büchi-EL rotary evaporator.

Synthesis of Diazo ketones: Typical experimental procedure

The preparation of 1-diazo-5-phenyl-2,5-pentanedione 17a from 3-benzoyl propionic acid described below, is illustrative of the general procedure for the synthesis of α -diazo ketones.

1-Diazo-5-phenyl-2,5-pentanedione (17a)^{25a}

To a solution containing 1.78 g (1 mmol) of 3-benzoyl propionic acid and 0.9 mL (1.05 mmol) of methyl chloroformate in 50 mL of ether was added 1.5 mL of triethylamine. The resulting white suspension was stirred at room temperature under argon for 2 h. The precipitated triethylamine hydrochloride was removed by filtration, and the resulting pale yellow solution was immediately treated with 25 mmol of freshly prepared diazomethane in ether (20 mL) at 0 °C. The reaction mixture was maintained at 0 °C for 8 h and then at room temperature and stirred overnight. The solvent was removed and the resulting yellow oil was chromatographed on a silica gel column by using 10% ethyl acetate in hexane as the eluent to give 1.36 g of 1-diazo-5-phenyl-2,5-pentanedione 17a (67%).

Yellow solid; recrystallized from hexane-dichloromethane.

mp : 55-56 °C.

IR (KBr) v_{max} : 3100, 2920, 2110, 1690, 1645, 1360, 755 cm⁻¹.

¹H NMR : δ 8-7.80 (m, 2H), 7.50-7.30 (m, 3H), 5.30 (s, 1H),

3.25 (t, 2H), 2.65 (t, 2H).

General Procedure for the Rhodium(II)-catalyzed Cycloaddition Reaction of 1-Diazo alkanediones with various Dipolarophiles.

A 5 mL toluene solution containing 1.2 equivalent of the appropriate diazo alkanedione was purged with argon. To this solution was added a catalytic amount (2 mg) of Rh₂(OAc)₄ and stirred under argon atmosphere at room temperature for 3 min 1 equivalent of the appropriate dipolarophile was added to it and the reaction mixture was allowed to stir at room temperature until nitrogen evolution ceased (30 min). The solvent was removed under reduced pressure and the residue subjected to silica gel

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column chromatography using the appropriate hexane-ethyl acetate mixture as the eluent to give the pure cycloadducts. The products were identified on the basis of their spectral data.

(1S,1'S,5'S)-3,5-Bis(1,1-dimethylethyl)-5'-phenylspiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3.2.1]octane]-2,2'-dione (43)

Treatment of 1-diazo-5-phenyl-2,5-pentanedione 17a (0.243 g, 1.2 mmol) with 3,5-di-tert-butyl-1,2-benzoquinone 36a (0.220 g, 1 mmol) in the presence of a catalytic amount of rhodium(II) acetate at room temperature for 30 min followed by purification of the residue using a Chromatotron® afforded the cycloadduct 43 (76%, 0.298 g).

Yellow crystals; recrystallized from hexane-dichloromethane.

mp : 207-209 °C.

 $IR (KBr) \nu_{max}$: 2555, 1735, 1708, 1640, 1276, 1128, 1074, 946,

778, 703 cm⁻¹.

¹H NMR : δ 7.72-7.42 (m, 5H), 6.76 (d, 1H, J = 2.1 Hz), 5.85

(d, 1H, J = 2.1 Hz), 4.58 (s, 1H), 2.66-2.42 (m, 4H),

1.18 (s, 9H), 1.11 (s, 9H).

¹³C NMR : δ 201.85, 199.33, 147.49, 144.75, 138.72, 133.69,

128.70, 128.20, 125.29, 122.54, 110.51, 87.90, 82.08,

35.67, 34.56, 33.26, 29.25, 28.37.

Anal. Calcd for C₂₅H₃₀O₄: C, 76.11; H, 7.66. Found: C, 75.61; H, 7.95.

Crystal data for 43: $C_{25}H_{30}O_4$. M. 394.49, triclinic, space group P1, unit dell dimensions a = 5.9075(1) Å, $\alpha = 97.315(1)^\circ$; b = 9.7892(1) Å, $\beta = 97.692(1)^\circ$; c = 19.2052(2) Å; $\gamma = 91.79^\circ$, R indices (all data) RI = 0.0860, wR2 = 0.1427, volume, Z = 1090.36(2) Å³, 2, D calc = 1.202 Mg/m³, absorption coefficient = 0.080 mm⁻¹, $\lambda = 0.71073$ Å. 20474

reflections measured, 4673 unique $[R_{(int)} = 0.06]$ which were used in all calculations. (Sheldrick, G. M., Siemens, Analytical X-ray Division, Madison, WI, 1995).

(1S,1'S,5R)-3,5-Bis(1,1-dimethylethyl)-5'-methylspiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3,2.1]octane]-2,2'-dione (44)

Rhodium(II) acetate catalyzed reaction of 1-diazohexane-2,5-dione 17b (0.168 g, 1.2 mmol) with 3,5-di-tert-butyl-1,2-benzoquinone 36a (0.220 g, 1 mmol) in 5 mL of toluene at room temperature for 30 min according to the general procedure followed by silica gel column chromatography afforded the cycloadduct 44 (0.178 g) in 54% yield.

Yellow crystals; recrystallized from hexane-dichloromethane.

mp : 166-168 °C.

IR (KBr) v_{max} : 2974, 2881, 1732, 1679, 1487, 1367, 1277, 1167,

1101, 1067, 988, 922, 893 cm⁻¹.

¹H NMR : δ 6.76 (s, 1H), 5.70 (s, 1H), 4.32 (s, 1H), 2.49-2.38

(m, 2H), 2.26-2.14 (m, 2H), 1.85 (s, 3H), 1.23 (s, 9H),

1.09 (s, 9H).

123.10, 110.45, 87.75, 81.90, 34.66, 34.57, 34.00,

32.81, 29.31, 28.43, 23.13.

Anal. Calcd for C₂₀H₂₈O₄: C, 72.26; H, 8.49. Found: C, 72.71; H, 8.62.

Cycloadduct 45

3,5-Di-tert-butyl-1,2-benzoquinone 36a (0.050 g, 0.23 mmol) was added to a solution of 1-diazo-5-ferrocenyl-2,5-pentanedione 17c (0.845 g, 0.27 mmol) and Rh(II) acetate in dry toluene (3 mL) at room temperature under argon atmosphere and stirred for 30 min. The solvent was removed in

vacuo. Purification of the residue on a silica gel column gave 45 (0.048 g, 42%).

Orange crystals; recrystallized from hexane-dichloromethane.

mp : 181-183 °C.

IR (KBr) v_{max} : 2962, 1742, 1701, 1485, 1378, 1276, 1142, 1094,

1027, 926, 791 cm⁻¹.

¹H NMR : δ 6.74 (s, 1H), 5.74 (s, 1H), 4.84 (s, 1H), 4.67

(s, 1H), 4.47 (s, 1H), 4.27 (s, 2H), 4.19 (s, 5H),

2.78-2.52 (m, 4H), 1.23 (s, 9H), 1.09 (s, 9H).

¹³C NMR : δ 202.10, 199.71, 146.71, 144.54, 133.49, 123.61,

111.08, 96.23, 87.35, 84.40, 82.08, 69.03, 68.76,

68.00, 67.96, 34.58, 32.89, 32.81, 29.32, 28.35.

(1S,1'S,5'S)-3,5-Bis(1,1-dimethylethyl)-6-methoxy-5-phenyl spiro [3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3.2.1]octane]-2,2'-dione (46)

Rhodium(II) acetate catalyzed reaction of the diazo ketone 17a (0.145 g, 0.72 mmol) and 3-methoxy-4,6-di-tert-butyl-1,2-benzoquinone 36b (0.150 g, 0.6 mmol) in toluene (5 mL) at room temperature for 30 min followed by silica gel column chromatography afforded the cycloadduct 46 (0.160 g) in 63% yield.

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 168-170 °C.

IR (KBr) v_{max} : 2962, 1735, 1688, 1627, 1445, 1303, 1270, 1135,

1067, 939, 892, 757 cm⁻¹.

¹H NMR : δ 7.74-7.40 (m, 5H), 6.92 (s, 1H), 4.59 (s, 1H), 3.88

(s, 3H), 2.69-2.50 (m, 4H), 1.23 (s, 9H) 1.17 (s, 9H).

¹³C NMR : δ 203.51, 197.57, 151.73, 141.38, 139.46, 136.97,

132.02, 128.69, 128.21, 125.21, 110.77, 93.39, 82.03,

62.54, 35.31, 34.89, 33.90, 32.95, 30.16, 29.18.

(1S,1'S,5'R)-3,5-Bis(1,1-dimethylethyl)-6-methoxy-5'-methyl spiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3.2.1]octane]-2,2'-dione (47)

Rhodium(II) acetate catalyzed reaction of 0.168 g (1.2 mmol) of α-diazo ketone 17b with 0.250 g (1 mmol) of 3-methoxy-4,6-di-tert-butyl-1,2-benzoquinone 36b in toluene (5 mL) at room temperature under argon atmosphere for 30 min followed by purification of the residue by silica gel column chromatography afforded the cycloadduct 47 (0.174 g, 48%).

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 156-158 °C.

IR (KBr) v_{max} : 2962, 1732, 1685, 1645, 1569, 1481, 1379, 1298,

1173, 1053, 989, 839 cm⁻¹.

¹H NMR : δ 6.92 (s, 1H), 4.33 (s, 1H), 3.78 (s, 3H), 2.56-2.44

(m, 2H), 2.26-2.24 (m, 2H), 1.88 (s, 3H), 1.21

(s, 18H).

¹³C NMR : δ 203.26, 198.21, 152.24, 141.23, 137.21, 131.79,

110.91, 93.39, 82.00, 62.36, 34.91, 34.56, 32.49,

30.29, 29.32, 24.13.

Anal. Calcd for C₂₁H₃₀O₅: C, 69.59; H, 8.34. Found: C, 70.05; H, 8.50.

(1S,1'S,5'S)-5-(1,1-dimethylethyl)-6-methoxy-5'-phenyl spiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3,2,1]octane]-2,2'-dione (48)

Treatment of 1-diazo-5-phenylpentane-2,5-dione 17a (0.242 g, 1.2 mmol) with 3-methoxy-4-tert-butyl-1,2-benzoquinone 36c (0.194 g, 1 mmol) in the presence of a catalytic amount of rhodium(II) acetate

according to the general procedure, followed by silica gel column chromatography gave the cycloadduct 48 (0.177 g, 48%).

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 164-166 °C,

IR (KBr) v_{max} : 2956, 1732, 1682, 1645, 1574, 1384, 1260, 1084,

992, 887, 760 cm⁻¹.

¹H NMR : δ 7.78-7.40 (m, 5H), δ .79 (d, 1H, J = 7.3 Hz), 5.33

(d, 1H, J = 7.3 Hz), 4.48 (s, 1H), 3.60 (s, 3H),

2.69-2.53 (m, 4H), 1.16 (s, 9H).

¹³C NMR : δ 205.92, 195.81, 158.57, 139.71, 138.49, 135.36,

129.12, 128/62, 125.77, 111.52, 88.98, 82.20, 55.99,

34.30, 33.54, 29.60.

Anal. Calcd for C₂₂H₂₄O₅: C, 71.72; H, 6.57. Found: C, 71.81; H, 6.61.

(1S,1'S,5'S)-5-(1,1-Dimethylethyl)-5'-phenyl spiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3.2.1]octane]-2,2'-dione (49)

Rhodium(II) acetate catalyzed reaction of 0.221 g (1.09 mmol) of the α-diazo ketone 17a with 0.150 g (0.91 mmol) of 4-tert-butyl-1,2-benzoquinone 36d in toluene (5 mL) at room temperature under argon atmosphere for 30 min followed by silica gel chromatography of the residue afforded the cycloadduct 49 (0.186 g, 55%).

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 112-113 °€.

 $IR(KBr) v_{max}$ 2977, 1735, 1691, 1450, 1367, 1279, 1114, 1025,

937, 772 cm⁻¹.

¹¹H NMR : δ 7.71-7.37 (m, 5H), 7.05 (dd, 1H, J = 2.3 and

10.2 Hz), 6.02 (d, 1H, J = 10.2 Hz), 5.90 (d, 1H,

J = 2.0 Hz), 4.57 (s, 1H), 2.68-2.44 (m, 4H), 1.12 (s, 9H).

13C NMR

: 8 201.69, 198.69, 147.50, 141.17, 138.58, 128.76, 128.16, 125.49, 125.28, 124.79, 111.00, 86.20, 83.04,

35.16, 34.56, 33.26, 28.34.

Anal. Calcd. for C₂₁H₂₂O₄: C, 74.54; H, 6.55. Found: C, 74.79; H, 6.65%.

Cycloadducts 50 and 51

Treatment of 1-diazo+5-phenylpentane-2,5-dione (0.103 g, 0.51 mmol) with the quinone **36e** (0.200 g, 0.42 mmol) in the presence of a catalytic amount of rhodium(II) acetate afforded a mixture of two products. Chromatography of the mixture on silica gel using 3% ethyl acetate in hexane as the eluent afforded **50** (0.099 g) in 36% yield.

(1S,1S',5'S)-3,5-Bis(diphenylmethyl)-6-methoxy-5'-phenyl spiro[3,5-cyclohexadiene-1,7'-[6,8]dioxabicyclo[3,2.1]octane]-2,2'-dione (50)

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp

: 161-163 °C.

IR (KBr) v_{max}

: 3030, 2924, 1732, 1675, 1495, 1452, 1065, 980,

762, 707 cm⁻¹.

¹H₁NMR

: δ 7.66-6.83 (m, 25H), 6.42 (s, 1H), 5.47 (s, 1H),

5.24 (s, 1H), 4.70 (s, 1H), 3.13 (s, 3H), 2.82-2.80

(m, 1H), 2.54-2.41 (m, 3H).

¹³C NMR

: δ 204.78, 196.58, 159.88, 144.05, 141.54, 141.34,

141.19, \$\\$40.05, \$137.41, \$129.10, \$128.71, \$128.53,\$

128.45, 128.29, 128.07, 126.65, 126.35, 126.20,

124.53, 123.32, 112.09, 90.77, 90.10, 62.31, 49.01,

47.61, 33.92, 33.24.

Further elution using 5% ethyl acetate in hexane afforded the cycloadduct 51 (0.112 g, 41%).

Cycloadduct 51

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 92-94 °C.

 \mathbb{R} (KBr) V_{max} : 3030, 2943, 1739, 1695, 1494, 1446, 1305, 1128,

1072, 1029, 789, 699 cm⁻¹.

¹H NMR : δ 7.70-6.75 (m, 25H), 6.29 (s, 1H), 5.59 (s, 1H),

5.41 (s, 1H), 4.38 (s, 1H), 3.67 (s, 3H), 2.71-2.58

(m, 4H).

¹³C NMR : δ 203.82, 195.99, 152.81, 142.48, 142.22, 141.44,

141.18, 140.74, 139.17, 136.26, 129.27, 128.77,

128.71, 128.57, 128.42, 128.19, 127.60, 126.81,

126.64, 126.31, 125.31, 124.81, 111.19, 90.70, 82.67,

62.73, 48.33, 47.56, 33.14, 32.82.

Anal. Calcd for C₄₄H₃₆O₅: C, 81.97; H, 5.63. Found: C, 81.94; H, 5.75.

Cycloadducts 52 and 53

Rhodium(II) acetate catalyzed reaction of 0.242 g (1.2 mmol) of the α-diazo ketone 17a with 0.158 g (1 mmol) of 1,2-naphthoquinone 37 in tohuene (5 mL) at room temperature under argon atmosphere for 40 min followed by removal of the solvent afforded a yellow residue which was subjected to silica gel column chromatography. The minor component was identified as the cycloadduct 52 (6%)

Cycloadduct 52

Colorless semisolid

IR (neat) v_{max} : 3068, 1729, 1688, 1451, 1304, 1193, 932, 785 cm⁻¹.

¹H NMR : 87.94-7.17 (m, 9H), 6.52 (d, 1H, J = 9.8 Hz), 6.11

(d, 1H, J = 9.8 Hz), 4.51 (s, 1H), 2.95-2.47 (m, 4H).

¹³C NMR : δ 203.83, 190.40, 140.37, 136.56, 135.42, 134.28,

129.82, 128.81, 128.75, 128.43, 127.69, 127.52,

127.16, 124.82, 111.27, 89.19, 85.70, 34.57, 33.43.

The major fraction isolated from the column contained the cycloadduct 53 (0.130 g, 39%).

Cycloadduct 53

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 164-166 °C.

IR (KBr) v_{max} 3056, 2942, 1731, 1694, 1593, 1452, 1303, 1216,

1128, 1061, 933, 764 cm⁻¹

¹H NMR : δ 7.86-7.18 (m, 9H), 6.71 (d, 1H, J = 9.9 Hz), 6.07

(d, 1H, J = 9.9 Hz), 4.52 (s, 1H), 2.69-2.46 (m, 4H).

¹³C NMR : δ 201.17, 195.96, 138.57, 136.37, 134.66, 130.93,

128.87, 128.71, 128.58, 128.14, 127.66, 127.48,

127.44, 125.24, 111.11, 85.37, 83.64, 35.64, 33.46.

Anal. Calcd for C₂₁H₁₆O₄: C, 75.88; H, 5.17. Found: C, 75.79; H, 4.81.

(1S,1'S,5'S)-3,5-Bis(1,1-dimethylethyl)-5'-phenylspiro[3,5-cyclohexadiene-1,8'-[6,8]dioxabicyclo[4.2.1]nonane]-2,2'-dione (55)

3,5-Di-tert-butyl-1,2-benzoquinone 36a (0.025 g, 0.11 mmol) was added to a solution of the diazo ketone 24 (0.030 g, 0.14 mmol) and a catalytic amount of Rh(II) acetate in dry toluene (2 mL) at room temperature under argon atmosphere and stirred for 60 min. The solvent was removed in vacuo and the residue on silica gel column chromatography afforded 55 (0.017 g) in 37% yield.

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 200-202 °C.

IR (KBr) v_{max} : 2968, 1708, 1695, 1663, 1466, 1370, 1274, 1134,

970, 889, 754 cm⁻¹.

¹H NMR : δ 7.54-7.32 (m, 5H), δ ,70 (d, 1H, J = 2.0 Hz), 5.69

(d, 1H, J = 2.0 Hz), 4.53 (s, 1H), 2.97-2.89 (m, 1H)

2.46-2.27 (m, 2H), 2.11-1.84 (m, 3H), 1.13 (s, 9H),

1.10 (s, 9H).

¹³C NMR : δ 210.89, 198.89, 146.50, 143.96, 142.95, 133.46,

127.73, 124.76, 122.98, 115.86, 89.41, 81.85, 42.58,

42.32, 34.73, 34.45, 29.30, 28.37, 18.16.

Cycloadducts 56 and 57

To a solution of 1-diazo-5-phenylpentane-2,5-dione 17a (0.242 g, 1.2 mmol) and a catalytic amount of Rh₂(OAc)₄ in toluene (3 mL) was added 0.182 g (1 mmol) of acenaphthenequinone 38 under argon atmosphere and resulting solution was stirred at room temperature for 3 h. The solvent was removed under reduced pressure and the residue purified by silica gel column chromatography (hexane-ethyl acetate) to give 56 (0.134 g, 38%) and 57 (0.104 g, 29%) in 67% overall yield in 1.3:1 ratio.

(1R,1'R,5'R)-5-Phenylspirofacenaphthylene-1-

(2H),7'[6,8]dioxabicyclo[3.2.1]octane]-2,2'-dione (56)

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 194-196 °C.

IR (KBr) v_{max} : 3065, 2930, 1730, 1606, 1494, 1278, 1131, 1031,

935, 788, 704 cm⁻¹.

1 1 NMR : δ 8.11-7.30 (m, 11 H), 4.54 (s, 1H), 3.50-3.37 (m, 1H), 2.78-2.69 (m, 2H), 2.62-2.51 (m, 1H).

13 C NMR : δ 202.34, 198.25, 140.77, 139.60, 138.32, 132.19, 131.06, 130.30, 128.93, 128.70, 128.31, 125.66, 124.88, 122.28, 120.79, 111.22, 87.60, 86.79, 35.70, 33.87.

Anal. Calcd for $C_{23}H_{16}O_4$: C, 77.52; H, 4.53. Found: C, 77.60; H, 4.37.

Cycloadduct 57

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 172-174 °C.

IR (KBr) v_{max} : 3071, 2970, 1730, 1605, 1502, 1312, 1138, 1068,

910, 785 cm⁻¹.

¹H NMR : δ 8.20-7.28 (m, 11H), 4.63 (s, 1H), 2.88-2.83

(m, 2H), 2.73-2.68 (m, 2H).

132.32, 131.77, 130.47, 128.81, 128.43, 128.18,

126.48, 125.43, 122.33, 121.72, 110.51, 98.12, 85.25,

33.90, 33.56.

Anal. Calcd for C₂₃H₁₆O₄: C, 77.52; H, 4.53. Found: C, 77.12; H, 4.47.

Crystal data for \$7: $C_{23}H_{16}O_4$. M. 356.36, monoclinic, space group P2(1)/c, unit cell dimensions a = 14.1914(5) Å, $\alpha = 90^\circ$; b = 14.2803(5) Å, $\beta = 104.410(3)^\circ$; c = 8.7367(3) Å, $\gamma = 90^\circ$, R indices (all data) R1 = 0.0864, wR2 = 0.1984, volume, Z = 1714.85(10) Å ³, 4, D calc = 1.380 Mg/m³, absorption coefficient = 0.094 mm⁻¹, reflections collected = 28171.

Cycloadducts 58 and 59

Treatment of the diazo ketone 17d (0.106 g, 0.49 mmol) with according to the general procedure afforded a mixture of two isomeric cycloadducts. Removal of the solvent followed by silica gel column chromatography afforded 58 (0.076 g, 50%) and 59 (0.027 g, 18%) in 68% yield in the ratio 2.8:1.

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp

: 230-231 °C.

 $IR (KBr) v_{max}$

: 3068, 2924, 1718, 1716, 1603, 1491, 1351, 1288,

1130, 1050, 922, 812, 770 cm⁻¹

¹H NMR

: δ 8.12-7.21 (m, 10H), 4.54 (s, 1H), 3.49-3.37

(m, 1H), 2.78-2.68 (m, 2H), 2.61-2.51 (m, 1H), 2.39

(s, 3H).

¹³C NMR

: δ 202.64, 198.47, 140.86, 138.50, 136.82, 132.29,

131.17, 130.38, 129.08, 128.\$3, 125.74, 124.94,

122.36, 120.94, 111.45, 87.70, 86.89, 35.78, 34.00,

21.29.

Anal. Calcd for C₂₄H₁₈O₄: C, 77.82; H, 4.90. Found: C, 77.78; H, 4.88.

Cycloadduct 59

Colorless crystals; recrystallized from hexane-ethyl acetate

mp

: 201-203 °C.

 $IR (KBr) v_{max}$

: 3043, 2912, 1735, 1710, 1604, 1430, 1398, 1262,

1128, 1073, 930, 786 cm⁻¹.

¹H NMR

: δ 8.62-7.23 (m, 10H), 4.70 (s, 1H), 2.94-2.90

(m, 2H), 2.80-2.76 (m, 2H), 2.39 (s, 3H).

¹³C NMR

: δ 203.00, 199.50, 142.23, 138.73, 136.32, 135.17,

133.41, 132.52, 131.95, 130.72, 129.08, 128.64,

128.41, 127.54, 126.66, 125.67, 122.52, 121.94,

110.85, 96.50, 85.51, 34.07, 33.82, 21.42.

Anal. Calcd for C₂₄H₁₈O₄: C, 77.82; H, 4.90. Found: C, 77.83; H, 4.87.

Cycloadducts 60 and 61

Rhodium(II) acetate catalyzed reaction of diazo ketone 17e (0.278 g, 1.2 mmol) and acenaphthenequinone (0.182 g, 1 mmol) in dry toluene (5 mL) at room temperature for 3 h and purification of the residue by silica gel chromatography afforded 1:1.6 mixture of cycloadducts 60 (0.091 g, 24%) and 61 (0.144 g, 37%) in 61% yield.

Cycloadduct 60

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp

: 190-191 °C.

IR (KBr) v_{max}

: 3061, 1731, 1718, 1437, 1174, 1032, 770 cm⁻¹.

¹H NMR:

: δ 8.13-7.35 (m, 8H), 6.93 (d, 2H, J = 8.6 Hz), 4.53

(s, 1H), 3.84 (s, 3H), 3.43-3.40 (m, 1H), 2.75-2.68

(m, 2H), 2.59-2.57 (m, 1H).

13C NMR

: δ 202.54, 198.38, 159.85, 140.80, 138.46, 132.20,

131.93, 131.13, 130.35, 128.96, 128.26, 126.32,

125.67, 122.28, 121.88, 120.87, 113.65, 111.31,

87.70, 86.85, 55.12, 35.70, 33.93.

Anal. Calcd for C24H18O5: C, 74.60; H, 4.70. Found: C, 74.75; H, 4.64.

Cycloadduct 61

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp

: 166-168 °C.

IR (KBr) ν_{max} : 3030, 2930, 1730, 1717, 1608, 1519, 1252, 1050, 827, 790 cm⁻¹.

¹H NMR : δ 8.12-7.40 (m, 8H), 6.96 (d, 2H, J = 8.7 Hz), 4.70 (s, 1H), 3.83 (s, 3H), 2.94-2.90 (m, 2H), 2.83-2.79 (m, 2H).

¹³C NMR : δ 203.04, 199.74, 160.02, 142.03, 131.85, 131.13, 130.52, 129.38, 128.48, 128.22, 127.13, 126.50, 122.39, 121.73, 113.57, 110.58, 85.35, 85.22, 55.16, 33.58, 33.53.

Anal. Calcd for C₂₄H₁₈O₅: C, 74.60; H, 4.70. Found: C, 75.21; H, 4.88.

Dimer 63

Rhodium(II) acetate catalyzed reaction of 0.082 g (0.32 mmol) of α-diazo ketone 40 and 0.050 g (0.27 mmol) of acenaphthenequinone in toluene (5 mL) at room temperature under argon atmosphere for 60 min gave the head-to-tail dimer 63 (0.061 g) in 84% yield. Acenaphthenequinone recovered quantitatively.

Colorless crystals; recrystallized from hexanetethyl acetate.

mp : 146-148 °C.

IR (KBr) v_{max} 3089, 2878, 1751, 1701, 1589, 1334, 1222, 1147,

1060, 973, 768 cm⁻¹.

¹H NMR : δ 8.06-8.03 (m, 2H), 7.89-7.86 (m, 2H), 7.35-7.25

(m, 3H), 7.18-7.16 (m, 2H), 4.23 (s, 1H).

¹³C NMR : δ 197.82, 142.63, 135.75, 133.06, 128.87, 128.66,

127.72, 123.66, 96.22, 59.57.

Anal. Calcd for C₃₀H₂₀O₄: C, 81.07; H, 4.54. Found: C, 80.54; H, 4.43.

; .

Cycloadducts 64 and 65

Treatment of 0.217 g (1.07 mmol) of diazo ketone 16a and 0.200 g (0.89 mmol) of 1-phenylisatin 39a in toluene (5 mL) in the presence of a catalytic amount of rhodium(II) acetate at room temperature under argon atmosphere for 3 h according to the general procedure afforded a mixture of two products which were separated by silica gel column chromatography. The major product was a colorless solid (0.267 g, 75%) whose structure was assigned as 64.

1S,3'R,5S)-5-phenyl-1'-(phenyl)spiro[6,8-dioxabicyclo[3.2.1]octane-7,3'-; [3H]indole]-2,2'(1'H)-dione (64)

Colorless crystals, recrystallized from hexane-ethyl acetate.

mp : 191-193 °C.

IR (KBr) v_{max} : 3055, 2943, 1733, 1611, 1591, 1496, 1361, 1297,

1195, 1055, 904, 754, 696 cm⁻¹.

¹H NMR : δ 7.69-6.92 (m, 13H), δ .79 (d, 1H, J = 7.7 Hz), 4.63

(s, 1H), 3.40-3.32 (m, 1H), 2.75-2.48 (m, 3H).

¹³C NMR : δ 202.40, 171.04, 142.61, 139.41, 133.65, 129.64,

128.47, 128.43, 126.33, 124.94, 124.03, 111.57,

109.77, 87.61, 83.04, 35.55, 33.73.

Anal. Calcd for C₂₅H₁₉N₁O₄: C, 75.48; H, 4.78; N, 3.52. Found: C, 75.29; H, 4.69; N, 3.57.

Crystal data for 64: $C_{25}H_{19}N_1O_4$. M. 397.41, orthorhombic, space group Pbca, unit cell dimensions a = 18.0300(4) Å, b = 9.8921(2) Å, c = 21.5710(6) Å, $\alpha_1 = \beta = \gamma = 90^{\circ}$, R indices (all data) R1 = 0.1068, wR2 = 0.1077, volume, Z = 3847.29(16) Å 3 , Z = 8, D calc = 1.372 Mg/m 3 , absorption coefficient = 0.093 mm $^{-1}$, T = 213(2) K, $\lambda = 0.71073$ Å, 36868

reflections measured, 3648 unique $[R_{(int)} = 0.11]$ which were used in all calculations.

The minor component was identified as the cycloadduct 65 (0.029 g) in <10% yield.

Cycloadduct 65

Pale yellow semisolid

IR (neat) v_{max} : 3030, 2912, 1718, 1684, 1593, 1449, 1356, 1229,

997, 745, 688 cm⁻¹;

¹H NMR : δ 7.80-7.02 (m, 13H), 6.78 (d, 1H, J = 7.8 Hz), 4.84

(s, 1H), 2.89-2.74 (m, 4H).

¹³C NMR : δ 202.60, 173.52, 144.22, 138.61, 133.64, 130.73,

129.41, 128.87, 128.16, 128.07, 126.26, 125.54,

122.92, 121.18, 110.39, 109.87, 85.34, 81.64, 33.28,

33.04.

(1S,3'R,5S)-5-phenyl-1'-(phenylmethyl)spiro[6,8-

dioxabicyclo[3.2.1]octane-7,3'-[3H]indole]-2,2'(1'H)-dione (66)

A solution containing 0.153 g (0.76 mmol) of diazo ketone 16a and 0.150 g (0.63 mmol) of 1-benzylisatin 39b in toluene (5 mL) was treated with a catalytic amount of rhodium(II) acetate according to the general procedure. The mixture was allowed to stir at room temperature under argon atmosphere for 3 h and the solvent was removed under reduced pressure. Chromatography of the resulting solid on silica gel using 8% ethyl acetate in hexane as the eluent afforded the cycloadduct 66 (0.202 g) in 78% yield.

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 218-220 °C.

IR (KBr) v_{max} : 3062, 2930, 1727, 1487, 1365, 1057, 990, 747cm⁻¹.

¹H NMR : δ 7.65-6.85 (m, 13H), 6.65 (d, 1H, J = 7.7 Hz), 4.86

(s, 2H), 4.51 (s, 1H), 3.45-3.37 (m, 1H), 2.75-2.65

(m, 2H), 2.58-2.51 (m, 1H).

¹³C NMR : δ 202.15, 171.78, 141.84, 139.67, 135.21, 130.34,

129.12, 129.02, 128.59, 128.00, 127.55, 125.15,

124.63, 123.70, 111.69, 109.\$8, 87.78, 83.20, 44.44,

35.92, 33.97.

Anal. Calcd for C₂₆H₂₁N₁O₄: C, 75.89; H, 5.15; N, 3.41. Found: C, 75.69; H, 4.99; N, 3.47.

(IS,3'R,5S)-5-phenyl-1'-(methyl)spiro[6,8-dioxabicyclo[3,2,1]octane-7,3'-[3H]indole]-2,2'(1'H)-dione (67)

A solution containing 0.150 g (0.74 mmol) of diazo ketone 16a and 0.100 g (0.62 mmol) of 1-methylisatin 39c in toluene (5 mL) was treated with a catalytic amount of rhodium(II) acetate and the mixture was allowed to stir at room temperature under argon atmosphere for 3 h. Removal of the solvent under reduced pressure followed by silica gel chromatography afforded 0.172 g of the cycloadduct 67 in 83% yield.

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 217-219 °C.

IR (KBr) v_{max} : 2999, 1735, 1710, 1612, 1495, 1375, 1127, 1027,

749, 692 cm⁻¹.

¹H NMR : δ 7.65-6.88 (m, 8H), 6.78 (d, 1H, J = 7.7 Hz), 4.48

(s, 1H), 3.48-3.36 (m, 1H), 3.20 (s, 3H), 2.72-2.63

(m, 2H), 2.57-2.46 (m, 1H).

13C NMR : 8 201.85, 171.19, 142.54, 139.39, 130.20, 128.91, 128.72, 128.30, 124.84, 124.32, 123.42, 111.22, 108. 24, 87.20, 82.71, 35.78, 33.73, 26.48.

Anal. Calcd for C₂₀H₁₇N₁O₄: C, 71.63; H, 5.11; N, 4.18. Found: C, 71.59; H, 5.04; N, 3.88.

(1S,3'R,5S)-5-phenylspiro[6,8-dioxabicyclo[3,2.1]octane-7,3'-[3H]indole]-2,2'(1'H)-dione (68)

A mixture containing 0.164 g (0.81 mmol) of diazo ketone 16a and 0.100 g (0.67 mmol) of isatin 39d in toluene (5 mL) was treated with a catalytic amount of Rh₂(OAc)₄ and allowed to stir at room temperature under argon atmosphere for 3 h. Removal of the solvent under reduced pressure followed by silica gel chromatography of the residue afforded 68 (0.155 g, 71%; yield based on recovered isatin (0.011 g) was 80%).

Colorless spongy solid

mp : 248-250 °C.

IR (KBr) v_{max} : 3193, 3099, 1732, 1712, 1622, 1473, 1287, 1024, 915, 753, 698 cm⁻¹.

³H NMR (DMSO₇ d_6) : δ 10.53 (s, 1H), 7.67-6.95 (m, 8H), 6.83 (d, 1H, J = 7.7 Hz), 4.45 (s, 1H), 3.39-3.28 (m, 1H), 2.63-2.47 (m, 3H).

¹³C NMR (DMSO-d₆): δ 201.63, 172.84, 140.98, 139.33, 130.03, 129.27, 128.65, 128.21, 124.78, 124.19, 122.49, 110.81, 110.36, 87.17, 82.98, 35.63, 33.67.

HRMS Calcd for $C_{19}H_{15}N_1O_4$: 321.10009. Found: 321.10011.

evaporated in vacuo. The residue obtained was chromatographed on a silica gel column to afford 70 (0.045 g, 33%) as an orange solid. Yield based on recovered 1-phenylisatin (0.016 g) was 45%.

Orange crystals; recrystallized from hexane-dichloromethane.

mp : 217-219 °C.

IR (KBr) v_{max} : 3083, 2946, 1736, 1615, 1500, 1366, 1108, 1035,

830, 751 cm⁻¹.

¹H NMR : δ 7.53-6.85 (m, 9H), 4.59 (s, 1H), 4.47 (s, 2H), 4.31

(s, 2H), 4.24 (s, 5H), 3|20-3.14 (m, 1H), 2.92-2.87

(m, 2H), 2.72-2.66 (m, 1H).

¹³C NMR : δ 204,13, 171.54, 143,00, 133.72, 130.28, 129.62,

129.01, 128.36, 126.36, 124.63, 123.97, 112.06,

109.92, 87.67, 85.41, 69.30, 69.11, 67.15, 33.30,

32.54.

HRMS Calcd for C₂₉H₂₃N₁O₄Fe: 505.09791. Found: 505.09765.

(1S,3'R,5S)-5-(4-methyl)-phenyl-1'(phenyl)spiro[6,8-

dioxabicyclo[3.2.1]octane-7,3'-[3H]indole]-2,2'(1'H)-dione (71)

A solution containing the diazo ketone 16d (0.174 g, 0.81 mmol) and 1-phenylisatin (0.150 g, 0.67 mmol) in dry toluene (5 mL) was treated with a catalytic amount of Rh₂(OAc)₄ under argon atmosphere at room temperature and stirred at room temperature for 3 h. The solvent was removed under reduced pressure and the residue was subjected to silica gel chromatography using hexane-ethyl acetate (85:15) and afforded 71 (0.141 g, 51%; yield based on recovered 1-phenylisatin (0.020 g) was 59%).

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 215-217 °C.

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IR (KBr) v_{max} : 3064, 2952, 1743, 1722, 1614, 1495, 1465, 1371,

1294, 1102, 1053, 815, 749 cm⁻¹.

¹H NMR : δ 7.57-6.91 (m, 12H), δ .79 (d, 1H, J = 7.8 Hz), 4.60

(s, 1H), 3.42-3.31 (m, 1H), 2.72-2.46 (m, 3H), 2.39

(s, 3H).

¹³C NMR : δ 201.89, 170.82, 142.50, 138.47, 136.54, 133.65,

130.05, 129.49, 128.99, 128.75, 128.23, 126.25,

124.82, 124.70, 123.85, 111.57, 109.59, 87.51, 82.85,

35.60, 33.64, 21.18.

HRMS Calcd for C₂₆H₂₁N₁O₄: 411.14677. Found: 411.14706.

(1S,3'R,5S)-5-(4-methoxy)-phenyl-1'(phenyl)spiro[6,8-

dioxabicyclo[3.2.1]octane-7,3'-[3H]indole]-2,2'(1'H)-dione (72)

To a solution containing the diazo ketone 16e (0.093 g, 0.40 mmol) and catalytic amount of Rh₂(OAc)₄ in dry toluene (2 mL) was added 1-phenylisatin (0.075 g, 0.33 mmol) at room temperature under argon atmosphere and allowed the reaction mixture to stir for 3 h. Removal of the solvent under reduced pressure followed by silica gel chromatography afforded the cycloadduct 72 (0.056 g, 39%; yield based on recovered 1-phenylisatin (0.010 g) was 45%).

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 187-189 °C.

 \mathbb{R} (KBr) ν_{max} : 3064, 2946, 1735, 1611, 1504, 1375, 1248, 1049,

838, 751 cm⁻¹.

¹H NMR : δ 7.64-6.94 (m, 12H), 6.82 (d, 1H, J = 7.7 Hz), 4.63

(s, 1H), 3.86 (s, 3H), 3.45-3.33 (m, 1H), 2.75-2.51

(m, 3H).

13C NMR : 8 201.99, 170.87, 159.90, 142.55, 133.68, 131.70, 130.09, 129.52, 128.80, 128.27, 126.29, 124.72, 123.88, 113.67, 111.53, 109.64, 87.59, 82.91, 55.13, 35.58, 33.67,

HRMS Calcd for C₂₆H₂₁N₁O₅: 427.14182. Found: 427.14197.

Cycloadduct 78

The rhodium(II)-catalyzed reaction of 0.153 g (0.75 mmol) of 16a with 0.100 g (0.63 mmol) of 1,4-naphthoquinone 77 was carried out in dry toluene (3 mL) according to the general procedure and afforded the cycloadduct 78 (0.062 g) in 30% yield.

Colorless crystals; recrystallized from hexane-dichloromethane.

mp : 183-185 °C.

IR (KBr) v_{max} : 3090, 3056, 2962, 1738, 1688, 1593, 1274, 1035,

768, 707 cm⁻¹.

¹H NMR : δ 7.98-7.02 (m, 9H), 5.08 (s, 1H), 3.86 (d, 1H,

J = 8.1 Hz), 3.63 (d, 1H, J = 8.1 Hz), 2.75-2.61

(m, 3H), 2.49-2.43 (m, 1H).

¹³C NMR : δ 205.27, 194.47, 192.92, 138.86, 136.33, 134.23,

133.83, 127.63, 127.52, 126.63, 126.10, 125.32,

96.06, 87.02, 58.94, 55.02, 36.88, 32.68.

Crystal data for 78: $C_{21}H_{16}O_4$. M. 332.34, monoclinic, space group P2(1)/n, unit cell dimensions a = 5.5993(1) Å, $\alpha = 90^{\circ}$; b = 25.3337(4) Å, $\beta = 100.081(1)^{\circ}$; c = 11.2166(2) Å, $\gamma = 90^{\circ}$, R indices (all data) R1 = 0.0474, wR2 = 0.0983, volume, Z = 1566.52(5) Å , A_{11} Deale = 1.409 Mg/m³, absorption coefficient = 0.097 mm⁻¹, $\lambda = 0.71073$ Å, 28308

reflections measured, 3187 unique $[R_{(int)} = 0.027]$ which were used in all calculations.

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Compound 79

A solution of the cycloadduct 43 ($\lambda_{max} = 387$ nm, 0.050 g, 0.13 mmol) in dry acetonitrile (30 mL) was purged with argon for 15 min in a quartz vessel and irradiated for 12 min inside a Rayonet Photochemical Reactor using 350 nm lamp. The yellow reaction mixture becomes colorless. The solvent was evaporated off and the residue purified by silica gel column chromatography using 8% ethyl acetate in hexane as the eluent to afford 79 (0.047 g) in 94% yield.

Colorless crystals; recrystallized from hexane-ethyl acetate.

 λ_{max}

: 339, 264 nm.

mp

: 148-150 °C.

IR (KBr) v_{max}

: 2959, 1741, 1689, 1450, 1367, 1277, 1123, 1048,

931, 768 cm⁻¹.

¹H NMR

: δ 7.50-7.36 (Ar, 5H), 7.01 (s, 1H), 4.39 (s, 1H),

2.86-2.80 (m, 1H), 2.65 (s, 1H), 2.56-2.45 (m, 2H),

2.34-2.29 (m, 1H), 1.16 (s, 9H), 1.01 (s, 9H).

¹³C NMR

: δ 200.28, 198.74, 152.58, 151.55, 138.33, 128.90,

128.32, 124.77, 110.90, 85.44, 80.29, 46.77, 38.18,

35.97, 32.83, 32.10, 30.44, 28.58.

Compound 80

A solution of the cycloadduct 44 (0.035 g, 0.10 mmol) in dry acetonitrile (25 mL) was purged with argon for 15 min in a quartz vessel and irradiated for 20 min inside a Rayonet Photochemical Reactor using 350 nm lamp. The yellow reaction mixture becomes colorless. The solvent was

1:

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evaporated off and the residue purified by gel column chromatography and afforded 80 (0.026 g, 74%).

Colorless crystals; recrystallized from hexane-ethyl acetate.

IR (KBr) v_{max} : 2968, 1736, 1688, 1362, 1265, 1175, 1093, 1043, 859 cm⁻¹.

¹H NMR : δ 7.02 (s, 1H), 4.20 (s, 1H), 2.75-2.63 (m, 1H), 2.53 (s, 1H), 2.43-2.35 (m, 1H), 2.24-2.13 (m, 2H), 1.60 (s, 3H), 1.14 (d, 18H, J = 5.2 Hz).

13C NMR : δ 200.28, 199.00, 152.50, 151.40, 110.25, 85.63, 80.01, 46.63, 36.22, 36.04, 32.18, 32.00, 31.80, 28.60, 28.47, 23.29.

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CHAPTER 3

DIPOLAR CYCLOADDITION REACTIONS OF AZOMETHINE YLIDES WITH 1,2-DIONES

3.1 INTRODUCTION

In the Huisgen classification of dipoles, azomethine ylides belong to the allyl type and are regarded as azomethinium betaines without a double bond in the sextet structure but with internal octet stabilization. These dipoles are bent even in the ground state. This property accounts in part for the characteristic stereochemical aspects of 1,3-dipolar cycloadditions of azomethine ylides.

The 1,3-dipolar cycloaddition reactions of azomethine ylides are invariably stereospecific with respect to both the dipole and the dipolarophile.^{1,2}

According to Sustmann's classification of 1,3-dipolar cycloadditions,³ substituents that raise the dipole HOMO energy or lower the dipolarophile LUMO energy will accelerate HOMO-controlled reactions. Calculations of the energies of the various orbitals involved in different types of cycloadditions on this basis revealed that the ylides including azomethine ylides are all electron rich species characterized by relatively high energy

HOMOs and LUMOs. Such species react preferentially with electrondeficient alkenes because such a pair of reactants has a narrow dipole HOMO-dipolarophile LUMO gap.³⁻⁷

Azomethine ylides have proven to be extraordinarily rich in their chemistry. The synthetic utility of their 1,3-dipolar cycloadditions to a wide range of dipolarophiles has made available a variety of mono, bi, and tricyclic heterocycles.⁸

It is worthy of note that polycyclic nitrogen containing heterocycles form the basic skeleton of numerous alkaloids and therapeutic agents.^{9,10} Pyrrolidine, pyrrolizidine and oxindole alkaloids constitute a class of biological activity compounds with significant the spiro[pyrrol|dine/oxindole] ring system is common to most oxindole alkaloids.11 Spiropyrrolidinyl-oxindole skeletons present in аге spirotryprostatine A and spirotryprostatine B,12 the potent inhibitors of mammalian cell cycle at G2/M phase. Other examples of 3,3'-spirooxindole skeleton are found in (+)-Elacomine¹³ and (-)-Horsfiline ⁴ (Figure 1).

A number of methods, including thermal or photolytic ring opening of aziridines, ¹⁵ desilylation, ¹⁶ or dehydrohalogenation of iminium salts, ¹⁷ tandem reaction of carbenoids with simple imines, ¹⁸ and proton abstraction from imine derivatives of α -amino acids ¹⁹ have been developed for the generation of azomethine ylides. Since they are unstable species, they are prepared *in situ* in low concentrations.

Grigg et al. reported the formation of azomethine ylides from α -amino acid esters. ¹⁹ In this reaction, the imine derivative of an α -amino acid ester bearing at least one enolizable hydrogen α to the ester 1 is in equilibrium with the azomethine ylide 2 which may be trapped by a variety of dipolarophiles (Scheme 1).

RCH=N-CH-CO₂Me

1

Scheme 1

RCH
$$\stackrel{\bigcirc}{\oplus}$$
 CO₂Me

Recently, these workers have reported an interesting and general method for the preparation of azomethine ylides which involves decarboxylative transamination of α -amino acids.²⁰

The mechanism of decarboxylative transamination is shown in Scheme 2. It is conceivable that the imine undergoes decarboxylation via the zwitterionic form 3 generating the 1,3-dipole 4.20

Scheme 2

The final location of the proton in the neutral imine product would then depend on a kinetically controlled proton transfer to the site in the dipole (6; a or b) with the greatest electron density.

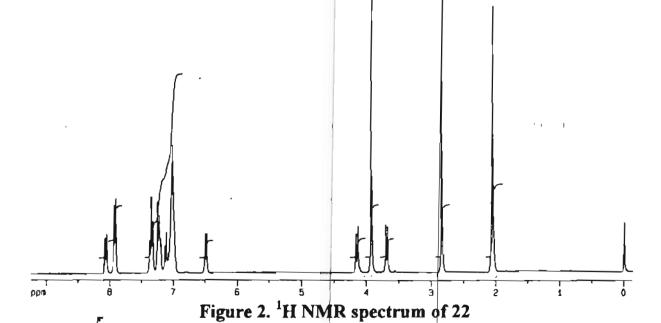
Solution phase azomethine ylides resulting from the condensation of 1,2-dicarbonyl compounds with α-amino acids or amines and their cycloaddition reactions with maleimides and acrylic esters were studied by Grigg.^{20,21} For example, isatin 5, sarcosine 6 and menthyl/methyl acrylate 8 react regio and stereospecifically in boiling acetonitrile to give a single cycloadduct in good yield *via* the azomethine ylide 7 (Scheme 3).²¹

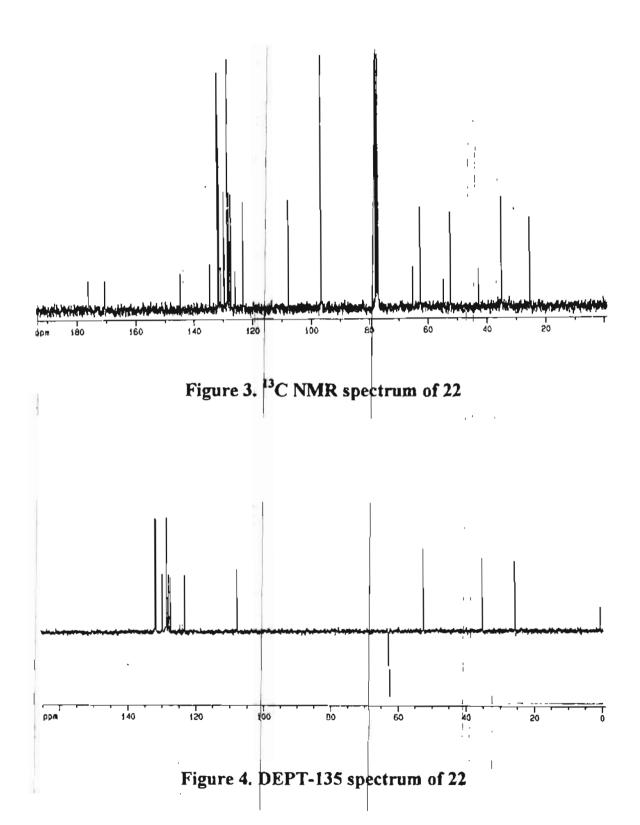
When isatin, pipecolic acid 10 and fumaronitrile 11 were heated in MeOH, the cycloadduct 12 was obtained in 76% yield (Scheme 4).^{20b}

When ninhydrin was allowed to react with α-amino acids in methanol at room temperature for 12-18 h in the presence of N-phenyl maleimide 15, the cycloadducts 16 were formed stereospecifically via an endo transition state in 50-80% yield (Scheme 5).

Recently it has been reported that an azomethine ylide 7, generated by the decarboxylative condensation of isatin with sarcosine, was trapped by a trans chalcone 17 to afford the heterocycle 18 (Scheme 6).²²

The product was purified by silica gel column chromatography and characterized by spectral analysis. The IR spectrum of 22 showed bands characteristic to -OH, -CO₂Me and -CONCH₃ at 3\$62, 1728 and 1702 cm⁻¹ respectively. In the ¹H NMR spectrum, the -OH proton resonated at δ 2.08 as a sharp singlet (exchangeable with D₂O). The methyl protons of the ester appeared as a sharp singlet at δ 3.93. The methylene protons on C-5 appeared as separate doublets at δ 4.15 (J = 8.7 Hz) and 3.69 (J = 8.7 Hz). The methyl protons on the lactam nitrogen appeared as a sharp singlet at δ 2.85 and the other -NCH₃ protons resonated at δ 2.06 as a singlet (Figure 2). In the ¹³C NMR spectrum, the two carbonyl carbons C-2 and C-9 appeared at 8 175.84 and 170.31 respectively. The signal due to the methoxy carbon was seen at δ 52.16 and the spiro carbon C-3 at δ 77.92. The methylene carbon C-5 appeared at δ 62.42 and the three quaternary carbons of the cyclopropane ring resonated at δ 65.03, 54.59 and 42.74 respectively. These assignments are supported by DEPT-135 NMR studies (Figures 3 and 4).





Ultimately the structure was confirmed unequivocally by single crystal X-ray analysis of 22 (Figure 5).

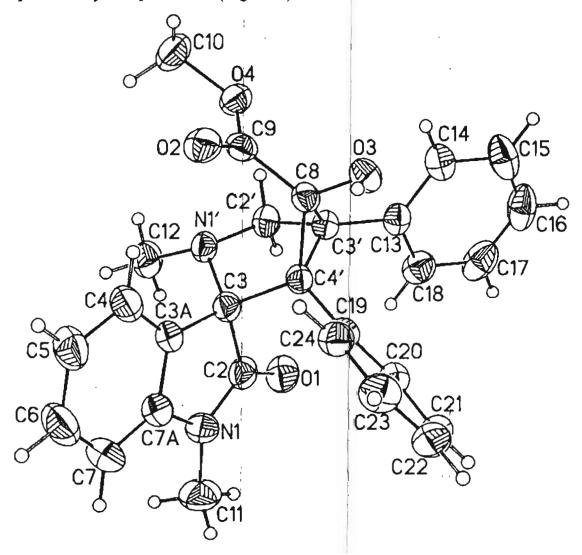


Figure 5. X-ray crystal structure of 22

Regarding the mechanism of formation of the product 22, a rationalization as outlined in Scheme 8 may be invoked. It is reasonable to assume that the cycloaddition proceeds by the initial attack of azomethine ylide preferentially on the carbon-carbon double bond of 3,4-diphenylcyclobutene-1,2-dione leading to a cyclobutane derivative which then undergoes rearrangement.²⁷

Similar reactivity was observed with other substituted isatins also. The results are discussed below.

Trapping of the azomethine ylide 24, generated by the decarboxylative condensation of 1-phenylisatin 23 and sarcosine with 3,4-diphenylcyclobutene-1,2-dione 21 in MeOH:H₂O system at 90 °C afforded a colorless solid product 25 in 38% yield (Scheme 9). Yield based on recovered cyclobutenedione was 53%.

The structure of the product was established from its spectral data. The IR spectrum of 25 showed the -OH stretching at 3455 cm^{-1} . A broad band was observed at 1715 cm^{-1} in the IR spectrum due to overlapping of the ester and lactam carbonyls. In the ¹H NMR spectrum, the methoxy protons appeared as a sharp singlet at δ 3.96 and the -NCH₃ protons were visible as a singlet at δ 2.23. The hydroxyl proton was discernible as a singlet δ 2.17 (exchangeable with D₂O). In the ¹³C NMR spectrum, the lactam and ester carbonyl signals were visible at δ 175.38 and 170.21 respectively. The characteristic spiro carbon signal was discernible at δ 77.98. All the other signals were in agreement with the assigned structure.

The reaction of 1-benzylisatin²⁵ **26**, sarcosine and 3,4-diphenylcyclobutene-1,2-dione also proceeded in a similar fashion affording a colorless solid product **28** in 48% isolated yield. Yield based on recovered cyclobutenedione was 65% (Scheme 10).

The characterization of the product was done by the usual spectral methods. The IR spectrum of 28 showed the -OH stretching as a broad band

at 3425 cm⁻¹ and the lactam and the ester carbonyls at 1689 and 1732 cm⁻¹ respectively. In the ¹H NMR spectrum, the -OH proton appeared as a sharp singlet at δ 2.16 (exchangeable with D₂O). The benzylic protons resonated as doublets at δ 5.00 (1H, J=16 Hz) and 4.36 (1H, J=16 Hz). In the ¹³C NMR, the lactam and ester carbonyls resonated at δ 175.57 and 170.54 respectively; 28 gave satisfactory high resolution mass also.

Similarly, the azomethine ylide 7 generated in situ from isatin and sarcosine under the above reaction conditions underwent facile cycloaddition with 3,4-diphenylcyclobutene-1,2-dione to afford the spiropyrrollidine derivative 29 in 49% yield (Scheme 11). Yield based on recovered dione 21 was 57%.

The structure of the product 29 was established from the spectral data. The IR spectrum of 29 showed the -OH stretching at 3431 cm⁻¹. In the ¹H NMR spectrum, the -NH proton resonated as a sharp singlet at δ 8.36 while the -OH proton was seen as a singlet at δ 2.60 (exchangeable with D₂O). The methoxy and methyl protons appeared as singlets at δ 3.90 and 2.03 respectively. In the ¹³C NMR spectrum, the lactam carbonyl was discernible

at δ 178.25 while the ester carbonyl appeared at δ 170.59. From the DEPT-135 spectrum, the presence of one methylene moiety was easily discernible. The signals due to the four quaternary carbons were absent in the DEPT-135 spectrum.

Similar reactivity was shown by 5-bromoisatin 30, sarcosine and 3,4-diphenylcyclobutene-1,2-dione. The major product isolated was the colorless solid spiropyrrolidine derivative 31 in 31% yield (Scheme 12). Yield based on recovered cyclobutenedione was 41%.

As usual, the structure of the product was ascertained from its spectral data. The stretching bands characteristic to -OH and -NH were observed at 3555 and 3299 cm⁻¹ respectively in the IR spectrum. A broad band was observed at 1721 cm⁻¹ in the IR spectrum, due to the overlapping of the ester and lactam carbonyls. In the ¹H NMR spectrum, the -NH proton resonated at δ 8.17 as a broad singlet and the -OH proton as a sharp singlet at δ 2.44; both disappeared on D₂O exchange. In the ¹³C NMR spectrum, the lactam and the ester carbonyls resonated at δ 178.08 and 170.48 respectively. All the other signals were in good agreement with the assigned structure.

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3.2.2 Theoretical Calculations

In order to explain the observed regioselectivity in the above reactions, we have carried out some theoretical calculations using PC SPARTAN Graphical Interface Package for Molecular Mechanics and Molecular Orbital Models. The correlation diagram for the reaction of 3,4-diphenylcyclobutene-1,2-dione 21 with the azomethine ylide 20 is provided as an illustrative example in Figure 6.

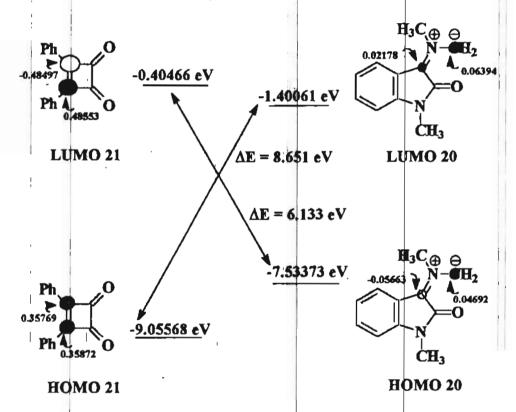


Figure 6. Molecular orbital correlation diagrams of 3,4-diphenylcyclobutene-1,2-dione 21 and the azomethine ylide 20.

From the correlation diagram in Figure 6, it is clear that the reaction of 3,4-diphenylcyclobutene-1,2-dione 21 with the azomethine ylide 20 is controlled by HOMO of the dipole ie, a type I reaction according to Sustmann's classification.³ It is found that HOMO(21)-LUMO(20)

interaction is unimportant due to large energy gap while HOMO(20)-LUMO(21) interaction is favorable.

3.2.3 Attempted Cycloaddition Reactions of Isatin-Derived Azomethine Ylide with 1,2-Benzoquinones

As a part of our continuing interest in probing the dipolar ophilic profile of 1,2-benzoquinones, ^{23,24} we carried out some work on their reaction with azomethine ylide generated in situ from isatin and sarcosine. Attempts to trap the azomethine ylide 20 with 3,5-di-tert-butyl-1,2-benzoquinone 32 and 4-tert-butyl-1,2-benzoquinone failed to give any cycloadduct under the reaction conditions. In both cases, the quinones were converted to the corresponding catechols. An illustrative example is shown below (Scheme 13).

Presumably, the genesis of the catechol involves electron transfer between the ylide and the quinone.

3.2.4 Cycloaddition Reactions of Azomethine Ylides with Acenaphthenequinone

Subsequent to the investigations described, we focused our attention on the reaction of isatin with sarcosine and acenaphthenequinone. Interestingly, the reaction proceeded smoothly to afford a mixture of two products. These were separated by silica gel column chromatography and characterized by spectral analysis as the cycloadducts 35 (52%) and 36 (30%) (Scheme 14).

The IR spectrum of 35 showed stretching bands characteristic to hydroxyl and carbonyl groups at 3499, 3455 and 1710 cm⁻¹ respectively. In the ¹H NMR spectrum, the methylene protons on C-4 appeared as two doublets at δ 4.21 (1H, J = 9.9 Hz) and 3.77 (1H, J = 10.0 Hz). The two -OH protons resonated as sharp singlets at δ 3.57 and 3.36 (exchangeable with D₂O). The -NCH₃ protons appeared as a singlet at δ 2.01 (Figure 7). In the ¹³C NMR spectrum, the signal due to the carbonyl group was discernible at δ 209.92. The three quaternary carbons appeared at δ 91.51, 86.96 and 81.31. The methylene carbon C-4 resonated at δ 66.95 while the methyl

Chapter 3 96

carbon at 8 35 18. From the DEPT-135 spectrum, the presence of one methylene moiety was easily discernible (Figures 8 and 9).

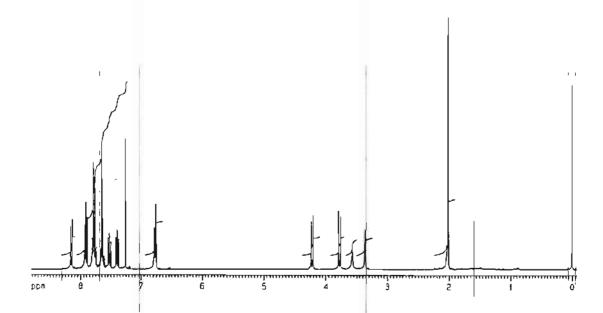


Figure 7. ¹H NMR spectrum of 35

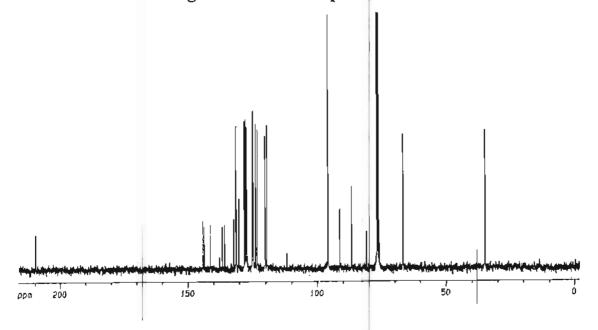


Figure 8. ¹³C NMR spectrum of 35

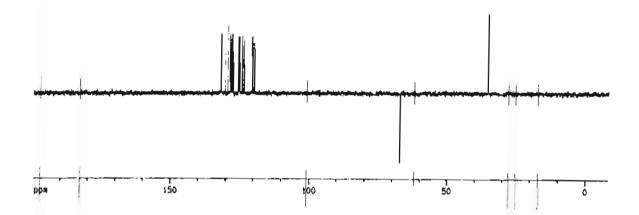


Figure 9. DEPT-135 NMR spectrum of 35

Finally the structure assigned was confirmed unequivocally by single crystal X-ray structure determination of 35 (Figure 10).

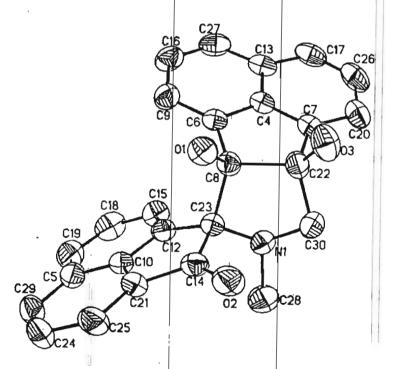


Figure 10. X-ray crystal structure of 35

A mechanistic rationalization as outlined in Scheme 15 may be invoked for the formation of the product 35.²⁸ It is conceivable that the reaction of sarcosine with acenaphthenequinone leads to the formation of I. Decarboxylative transamination of I produces the aza-allylic species II, which undergoes further transformation leading to the enediol IV; the latter undergoes cycloaddition to the dipole II to afford the spirocompound 35.

The structure of the product 36 was ascertained from the spectral data. The bands characteristic to -OH and -NH were observed at 3363 and 3176 cm⁻¹ respectively. A strong absorption at 1689 cm⁻¹ was assigned to the carbonyl group. In the ¹H NMR spectrum, the -NH proton resonated at δ 10.13 as a sharp singlet and the two -OH protons appeared as sharp

singlets at δ 5 06 and 5.00 (exchangeable with D_2O). The two methylene protons on C-5 appeared as doublets at δ 4.13 (1H, J=9 2 Hz) and 3.52 (1H, J=9.2 Hz). In the 13 C NMR spectrum, the lactam carbonyl resonance was seen at δ 178.14. The signals at δ 64.81 and 34.40 were assigned to the methylene and methyl carbons respectively. All the other signals were comparable to those of 35.

The reaction took a similar course with other substituted isatins also.

The results are discussed below.

The reaction of 1-phenylisatin 23, sarcosine and acenaphthenequinone 34 in MeOH: H₂O at 90 °C proceeded smoothly to afford a mixture of products 35 (47%) and 37 (21%) (Scheme 16).

The structures of the products were established on the basis of their spectral data. The IR spectrum of 37 showed bands characteristic to hydroxyl and carbonyl groups at 3488 and 1695 cm⁻¹ respectively. In the ¹H NMR spectrum, the two -OH protons resonated as sharp singlets at δ 5.27 and 5.14 (exchangeable with D₂O). The -NCH₃ protons appeared as a singlet at δ 2.07. In the ¹³C NMR spectrum, the signal due to the lactam carbonyl

was visible at δ 174.69. The methylene carbon was discernible at δ 64.14 and the methyl carbon at δ 33.75. All the other signals were in agreement with the proposed structure.

Similar reactivity pattern was observed in the multicomponent reaction between 1-methylisatin 19, sarcosine and acenaphthenequinone. The reaction proceeded smoothly and afforded the products 35 (56%) and 38 (31%) (Scheme 17).

The products were characterized on the basis of spectral data. The IR spectrum of 38 showed a broad band at 3438 cm⁻¹ characteristic for -OH group; the carbonyl absorption was seen at 1686 cm⁻¹. In the ¹H NMR spectrum, the two -OH protons were discernible at δ 4.01 and 3.68 (exchangeable with D₂O) as sharp singlets. The two C-5 protons appeared as separate doublets at δ 4.04 (1H, J = 9.9 Hz) and 3.62 (1H, J = 9.9 Hz). The protons of the N-1 methyl group resonated at δ 3.23 while that of N-4 methyl group resonated at δ 1.92 In the ¹³C NMR spectrum, the lactam carbonyl C-2 appeared at δ 1.77.86. The signal at δ 78.27 was assigned to the

spiro carbon C-3. All the other signals were in agreement with the assigned structure.

The multicomponent reaction between 5-bromoisatin 30, sarcosine and acenaphthenequinone proceeded smoothly to afford a mixture of products 35 (55%) and 39 (20%) (Scheme 18).

The products were characterized on the basis of spectral data. The IR spectrum of 39 showed strong bands at 3363 and 1696 cm⁻¹ characteristic for hydroxyl and carbonyl groups respectively. In the ¹H NMR spectrum, the two -OH protons resonated as sharp singlets at δ 5.23 and 5.12 (exchangeable with D₂O). The signal due to the -NCH₃ proton was discernible at δ 1.98 as a sharp singlet. In the ¹³C NMR spectrum, the lactam carbonyl resonated at δ 176.39. All the other signals were in agreement with the assigned structure.

In order to verify the origin of cycloadduct 35, we carried out a reaction of sarcosine and excess acenaphthenequinone in MeOH:H₂O at 90 °C. As expected, the blank reaction also gave the cycloadduct 35 in 72% yield (Scheme 19).

The product was purified by silica gel column chromatography and characterized by spectral analysis. The spectra obtained were identical to those of 35, isolated in earlier experiments.

3.2.5 Cycloaddition Reaction of Acenaphthenequinone-Derived Azomethine Ylide and 3,4-Diphenylcyclobutene-1,2-dione

From the above experiments, it is clear that an azomethine ylide is generated by the reaction between acenaphthenequinone and sarcosine. Therefore we performed an experiment with a view to trap this azomethine ylide, (generated in situ) with 3,4-diphenylcyclobutene-1,2-dione. The reaction afforded a yellow solid product 40 in 25% isolated yield together with 56% of the cycloadduct 35 (Scheme 20). Yield of the cycloadduct 40 based on recovered dione 21 was 38%. The products were purified by silica gel column chromatography and characterized by spectral analysis.

The IR spectrum of 40 showed the -OH stretching at 3550 cm⁻¹ and two sharp bands at 1771 and 1716 cm⁻¹ assignable to the ester and the keto carbonyls respectively. In the ¹H NMR spectrum, the -OH proton was discernible at δ 2.17 as a sharp singlet (exchangeable with D₂O). In the ¹³C NMR spectrum, the ester and aryl carbonyl signals were observed at δ 170.35 and 207.86. The characteristic spiro carbon signal was discernible at δ 80.73. All the other signals were in agreement with the assigned structure.

In conclusion, we have encountered facile dipolar cycloaddition reactions of 3,4-diphenylcylobutene-1,2-dione with the azomethine ylides derived from isatins yielding novel spiro[pyrrolidine-2,3'-oxindole]derivatives which may be amenable to a number of useful synthetic transformations. It is worthy of note that the spiro[pyrrolidinyl-oxindole]ring system is a recurring structural motif in a number of natural products with remarkable biological activity. Novel spiropyrrolidine derivatives are also formed in a mechanistically intriguing reaction involving isatins, sarcosine and acenaphthenequinone.

3.3 EXPERIMENTAL DETAILS

General information about the experiments is given in section 2.4 (Chapter 2).

Typical Experimental Procedure

The general procedure for the synthesis of spiro pyrrolidines/oxindoles is exemplified by the synthesis of 22.

Methyl 1',2'-dihydro-6-hydroxy-1',3-dimethyl-2'-oxo-1,5-diphenyl spiro[3-azabicyclo[3.1.0]hexane-2,3'-[3H]indole]-6-carboxylate (22)

To a solution of 1-methylisatin 19 (0, 206 g, 1.28 mmol) in methanol (6 mL) was added sarcosine 6 (0.228 g, 2.56 mmol) in distilled water (2 mL) and the mixture stirred at 90 °C for 5 min. This was followed by the addition of 3,4-diphenylcyclobutene-1,2-dione 21 (0.200 g, 0.85 mmol). The reaction mixture was allowed to stir at 90 °C for 24 h. The reaction mixture was diluted with water (30 mL) and extracted with dichloromethane (3 x 20 mL). The combined extract was washed with brine solution (10 mL) and dried over anhydrous sodium sulfate. The solvent was removed and the residue was chromatographed on a silica gel column. The unreacted cyclobutenedione 21 (0.031 g) was eluted using hexane-ethyl acetate (95:5) mixture. The cycloadduct 22 was separated using 10% ethyl acetate in hexane as eluent (0.226 g, 58%; yield based on recovered cyclobutenedione was 69%).

Colorless crystals; recrystallized from methanol-dichloromethane.

mp : 238-240 °C.

IR (KBr) v_{max} : 3562, 3063, 2969, 1728, 1702, 1617, 1499, 1347,

1256, 1114, 762, 711 cm⁻¹.

¹H NMR : δ 8.07-7.01 (m, 13H), 6.49 (d, 1H, J = 7.5 Hz), 4.15 (d, 1H, J = 8.7 Hz), 3.93 (s, 3H), 3.69 (d, 1H, J = 8.7 Hz), 2.85 (s, 3H), 2.08 (s, 1H), 2.06 (s, 3H). ¹³C NMR : δ 175.84, 170.31, 144.53, 134.35, 131.59, 131.22, 130.61, 129.46, 128.31, 128.21, 127.82, 127.67, 127.15, 125.72, 122.91, 107.46, 77.92, 65.03, 62.42, 54.59, 52.16, 42.74, 34.85, 25.33.

Crystal data for 22: $C_{28}H_{26}N_2O_4$. M. 454.51, monoclinic, space group $P2_1/n$, unit cell dimensions a = 11.8530 (2) Å, b = 15.1826 (2) Å, c = 13.1144 (2) Å, $\alpha = 90$ °, $\beta = 100.321(1)$ °, $\gamma = 90$ °, R indices (all data) R1 = 0.0725, wR2 = 0.1055, volume, Z = 2321.87 (6) Å³, 4. D calc = 1.300 Mg/m³, absorption coefficient = 0.087 mm⁻¹, T = 213(2) K, $\lambda = 0.71073$ Å, 37247 reflections measured, 4744 unique $[R_{(int)} = 0.06]$ which were used in all calculations.

Methyl 1',2'-dihydro-6-hydroxy-1'-phenyl-3-methyl-2'-oxo-1,5-diphenyl spiro[3-azabicyclo[3.1.0]hexane-2,3'-[3H]indole]-6-carboxylate (25)

To a solution of 1-phenylisatin 23 (0.286 g, 1.28 mmol) in methanol (6 mL) was added sarcosine 6 (0.228 g, 2.56 mmol) in water (2 mL). After stirring the reaction mixture at 90 °C for 5 min, 3,4-diphenylcyclobutene-1,2-dione 21 (0.200 g, 0.85 mmol) was added and the mixture stirred at 90 °C overnight. Aqueous work up of the reaction mixture followed by silica gel column chromatography of the residue (hexane-ethyl acetate, 95:5) gave 0.058 g of unreacted cyclobutenedione. Elution with 10% ethyl acetate in hexane afforded the cycloadduct 25 (0.166 g, 38%; yield based on recovered cyclobutenedione was 53%).

Colorless crystals; recrystallized from methanol-dichloromethane.

mp : 174-176 °C.

IR (KBr) v_{max} : 3455, 3062, 2956, 1715, 1610, 1498, 1369, 1268,

754, 699 cm⁻¹.

¹H NMR : δ 8.07-6.35 (m, 19H), 4.19 (d, 1H, J = 8.7 Hz), 3.96

(s, 3H), 3.75 (d, 1H, J = 8.7 Hz), 2.23 (s, 3H), 2.17

(s, 1H).

¹³C NMR : δ 175.38, 170.21, 144.64, 134.12, 133.96, 131.56,

131.32, 130.62, 129.52, 129.30, 128.37, 128.16,

128.08, 127.83, 127.69, 127.06, 126.85, 125.33,

123.17, 108.71, 77.98, 65.06, 62.60, 55.03, 53.30,

52.12, 42.77, 34.84.

HRMS Calcd for C₃₃H₂₈N₂O₄: 516.206662. Found: 516.204908.

Methyl 1',2'-dihydro-6-hydroxy-1'-(phenylmethyl)-3-methyl-2'-oxo-1,5-diphenyl spiro[3-azabicyclo[3.1.0]hexane-2,3'-[3H]indole]-6-carboxylate (28)

A mixture of 1-benzylisatin 26 (0.303 g, 1.28 mmol) in methanol (6 mL) and sarcosine 6 (0.228 g, 2.56 mmol) in water (2 mL) was stirred at 90 °C for 5 min. This was then followed by the addition of 3,4-diphenylcyclobutene-1,2-dione 21 (0.200 g, 0.85 mmol) and the reaction mixture was allowed to stir at 90 °C overnight. Work up and purification as described in the general procedure afforded 0.051 g of unreacted cyclobutenedione and the cycloadduct 28 (0.218 g, 48%; yield based on recovered cyclobutenedione was 65%).

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 164-166 °C.

IR (KBr) ♥_{max} : 3425, 3052, 1732, 1689, 1458, 1178, 998, 730 cm⁻¹.

¹H NMR : δ 8.15-6.28 (m, 19H), 5.00 (d, 1H, J = 16 Hz), 4.36

(d, 1H, J = 16 Hz), 4.16 (d, 1H, J = 8.7 Hz), 3.93

(s, 3H), 3.71 (d, 1H, J = 8.7 Hz), 2.16 (s, 1H), 2.08

(s, 3H).

¹³C NMR : δ 175.57, 170.54, 143.75, 135.07, 134.40, 131.64,

131.42, 130.94, 129.48, 128.72, 128.65, 128.17,

127.81, 127.14, 126.32, 125.80, 123.02, 108.86,

77.62, 65.08, 62.40, 54.33, 52.19, 42.95, 42.54,

34.76.

HRMS Calcd for $C_{34}H_{30}N_2O_4$: 530.222621. Found: 530.220558.

Methyl 1',2'-dihydro-6-hydroxy-3-methyl-2'-oxo-1,5 diphenyl spiro[3-azabicyclo[3.1.0]hexane-2,3'-[3H]indole]-6-carboxylate (29)

A mixture of isatin 5 (0.188 g, 1.28 mmol) in methanol (6 mL) and sarcosine (0.228 g, 2.56 mmol) in water (2 mL) was allowed to stir at 90 °C for 5 min. Then 3,4-diphenylcyclobutene-1,2-dione 21 (0.200 g, 0.85 mmol) was added to it and the reaction mixture was allowed to stir at 90 °C for 24 h. The reaction mixture after work up and purification according to the general experimental procedure afforded unreacted cyclobutenedione (0.028 g) and the cycloadduct 29 (0.184 g, 49%; yield based on recovered cyclobutenedione was 57%).

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 106-108 °C.

IR (KBr) v_{max} : 3431, 3176, 3058, 1683, 1458, 1328, 1222, 1029,

823, 736 cm⁻¹.

¹H NMR : δ 8.36 (s, 1H), 8.09-6.89 (m, 13H), 6.53

(d, 1H, J = 7.3 Hz), 4.06 (d, 1H, J = 8.6 Hz), 3.90

(s, 3H), 3.66 (d, 1H, J = 8.8 Hz), 2.60 (s, 1H), 2.03 (s, 3H).

¹³C NMR

: 8 178.25, 170.59, 141.87, 134.43, 131.56, 131.39, 130.69, 129.74, 129.53, 128.40, 128.18, 127.78, 127.16, 126.35, 122.99, 109.56, 78.13, 65.17, 62.12, 54.20, 52.25, 42.39, 34.80.

Methyl 1',2'-dihydro-6-hydroxy-5'-bromo-3-methyl-2'-oxo-1,5-diphenyl spiro[3-azabicyclo[3.1.0]hexane-2,3'-[3H]indole]-6-carboxylate (31)

To a solution of 5-bromoisatin 30 (0.289 g, 1.28 mmol) in methanol (6 mL) was added sarcosine 6 (0.228 g, 2.56 mmol) in water (2 mL). After stirring the reaction mixture at 90 °C for 5 min, 3.4-diphenylcyclobutene-1,2-dione 21 (0.200 g, 0.85 mmol) was added. The reaction mixture was stirred for 24 h at 90 °C and worked up as usual. The residue on purification afforded 0.048 g of unreacted cyclobutenedione and 0.138 g of the cycloadduct 31 (31%; yield based on recovered cyclobutenedione was 41%). Colorless crystals; recrystallized from hexane-ethyl acetate.

mp : 233-235 °C.

IR (KBr) v_{max} : 3555, 3299, 2935, 1721, 1627, 1479, 1337, 1263,

778, 717 cm⁻¹.

¹H NMR : δ 8.17 (br s, 1H), 8.10-6.88 (m, 12H), 6.53 (d, 1H,

J = 7.3 Hz) 4.06 (d, 1H, $J \neq 8.8 \text{ Hz}$), 3.90 (s, 3H),

3.66 (d, 1H, J = 8.8 Hz), 2.44 (s, 1H), 2.03 (s, 3H).

¹³C NMR : δ 178.08, 170.48, 141.82, 134.40, 131.53, 131.35,

130.66, 129.72, 129.49, 128.75, 128.51, 128.38,

128.16, 127.76, 127.13, 126.32, 122.95, 109.45,

78.07, 65.13, 62.11, 54.18, 52.19, 42.42, 34.77.

HRMS Calcd for C₂₇H₂₃N₂O₄Br: 518.084340. Found: 518.084119.

(5aS,8aR)+5a,7,8,8a-Tetrahydro-5a,8a-dihydroxy-7-methyl spiro[6H-acenaphtho[1,2-c]pyrrole-6,1'(2'H)-acenaphthylen]-2'-one (35)

To a mixture of isatin 5 (0.220 g, 1.5 mmol) in methanol (6 mL) and sarcosine 6 (0.267 g, 3 mmol) in water (2 mL) at 90 °C was added acenaphthenequinone 34 (0.182 g, 1 mmol) and the reaction mixture was allowed to stir at 90 °C overnight. After completion of the reaction, the reaction mixture was processed as described above. Column chromatography of the residue on silica gel using hexane-ethyl acetate (90:10) mixture afforded 0.103 g of the cycloadduct 35 (52%).

Yellow crystals; recrystallized from hexane-ethyl acetate.

mp : 175-177 °C.

IR (KBr) v_{max} : 3499, 3455, 2962, 1710, 1493, 1343, 1182, 1114,

835, 783 cm⁻¹.

¹H NMR : δ 8.16-7.36 (m, 10H), 6.76 (t, 2H, J = 6.4 Hz), 4.21

(d, 1H, J = 9.9 Hz), 3.77 (d, 1H, J = 10.0 Hz), 3.57

(s, 1H), 3.36 (s, 1H), 2.01 (s, 3H).

¹³C NMR : δ 209.92, 144.42, 143.84, 141.53, 136.95, 136.09,

132.43, 131.61, 130.56, 130.49, 128.38, 127.95,

127.57, 127.24, 125.34, 125.05, 124.97, 124.05,

123.37, 120.33, 119.61, 91.\$1, 86.96, 81.31, 66.95,

35.18.

HRMS Calcd for C₂₆H₁₉N₁O: 393.133604. Found: 393.132471.

Further elution of the column using hexane-ethyl acetate (80:20) afforded 36 (0.107 g, 30%).

Cycloadduct 36

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp

: 222-224 °C.

IR (KBr) v_{max}

: 3363, 3176, 1689, 1620, 1465, 786, 736 cm⁻¹.

¹H NMR (DMSO- d_6) : δ 10.13 (s, 1H), 7.76-6.72 (m, 9H), 6.10 (d, 1H,

J = 7.2 Hz, 5.06 (s, 1H), 5.00 (s, 1H), 4.13 (d, 1H,

J = 9.2 Hz), 3.52 (d, 1H, J = 9.2 Hz), 1.98 (s, 3H).

¹³C NMR (DMSO- d_6): δ 178.14, 145.31, 143.00, 141.22, 136.16, 129.24, 127/98, 127.30, 126.64, 126.20, 125.60, 123.80, 123, 35, 122.84, 119.42, 118.41, 108.61, 89.97, 85.04, 77.60, 64.81, 34.40.

Cycloadducts 35 and 37

To a mixture of 1-Phenylisatin 23 (0.275 g, 1.23 mmol) in methanol (6 mL) and sarcosine 6 (0.220 g, 2.47 mmol) in water (2 mL) at 90 °C was added acenaphthenequinone 34 (0.150 g, 0.82 mmol) and the reaction mixture allowed to stir at 90 °C for 24 h. The usual work up and purification of the product by silica gel column chromatography afforded the cycloadduct 35 (0.077 g, 47%).

Further elution of the column using hexane-ethyl acetate (80:20) afforded 37 (0.074 g, 21%).

Cvcloadduct 37

Colorless crystals; recrystallized from hexane-ethyl acetate.

mp

: 188-190 °C.

 $IR (KBr) \nu_{max}$

: 3488, 3046, 1695, 1602, 1496, 1465, 1359, 1216,

1122, 780, 761 cm⁻¹.

¹H NMR (DMSO- d_6): δ 8.59-6.70 (m, 14H), 6.11 (d, 1H, J = 7.0 Hz), 5.27 (s, 1H), 5.14 (s, 1H), 4.20 (d, 1H, J = 9.1 Hz), 3.57 (d, 1H, J = 9.1 Hz), 2.07 (s, 3H).

¹³C NMR (DMSO-d₆): δ 174.69, 144.97, 143.63, 140.65, 134.12, 133.05, 131.54, 130.23, 127.89, 127.60, 126.23, 126.02, 125.62, 125.29, 123.37, 122.39, 119.90, 117.94, 117.27, 107.18, 90.30, 89.91, 84.32, 64.14, 33.75.

Cycloadducts 35 and 38

To a mixture of 1-methylisatin 19 (0.241 g, 1.5 mmol) in methanol (6 mL) and sarcosine 6 (0.267 g, 3 mmol) in water (2 mL) at 90 °C was added acenaphthenequinone 34 (0.182 g, 1 mmol) and the reaction mixture was allowed to stir at 90 °C for 24 h. After completion of the reaction, the reaction mixture was processed as described in the general procedure. Purification of the residue by chromatography on silica gel (hexane-ethyl acetate, 90:10) afforded the cycloadduct 35 (0.110 g) in 56% yield.

Further elution of the column using hexane-ethyl acetate (80:20) afforded 38 (0.116 g, 31%).

Cycloadduct 38

Colorless crystals; recrystallized from hexane-ethyl acetate

mp : 189-191 °C.

IR (KBr) v_{max} : 3438, 3058, 1686, 1461, 1376, 1112, 776, 752 cm⁻¹.

¹H NMR : δ 7.77-6.85 (m, 9H), 6.52 (d, 1H, J = 7.2 Hz), 4.04

(d, 1H, J = 9.9 Hz), 4.01 (s, 1H), 3.68 (s, 1H), 3.62

(d, 1H, J = 9.9 Hz), 3.23 (s, 3H), 1.92 (s, 3H).

¹³C NMR : δ 177.86, 145.33, 144.36, 141.02, 136.99, 130.58,

129.39, 128.30, 127.58, 127.29, 125.31, 124.87,

123.54, 121.71, 119.47, 108.04, 90 02, 86.65, 78.27, 66.67, 35.27, 25.64.

Cycloadducts 35 and 39

To a mixture of 5-bromoisatin 30 (0 339 g, 1.5 mmol) in methanol (6 mL) and sarcosine 6 (0.267 g, 3 mmol) in water (2 mL) at 90 °C was added acenaphthenequinone 34 (0.182 g, 1 mmol) and the reaction mixture was allowed to stir at 90 °C overnight. After completion of the reaction, the reaction mixture was processed as described above and the residue was subjected to column chromatography to obtain the cycloadduct 35 (0.108 g, 55%).

Further elution of the column using hexane-ethyl acetate (70:30) afforded 39 (0 087 g, 20%).

Cycloadduct 39

Colorless crystals; recrystallized from hexane-ethyl acetate.

	-	•	,		_			
mp			: 208-210	P.C.				
IR (KBr)	v_{max}		: 3363, 316	14 , 1696, 1	622, 14	68, 111	5, 785 cm	-1
¹ H NMR			: δ 10.36	(s, 1H), 7	.97 - 6.6	7 (m, 8	H), 5.92	(s, 1H),
			5.23 (s, 1H	f), 5.12 (s,	1H), 4	4.12 (d,	1H, $J =$	9.0 Hz),
1			3.48 (d, 1H	I, J = 9.1 H	z), 1.9	8 (s, 3H).	
¹³ C NMR			: δ 176.39	, 144.84,	141.54,	140.48	3, 135.37,	129.83,
			128.79, 12	28.46, 120	5.63,	125.29,	123.32,	122.73,
			122.02, 11	7.82, 110.8	0, 109	.36, 108	3. 88, 89.9 1	, 89.42,
			84.16, 63.6	31, 33.74.				

Cycloadducts 35 and 40

To a mixture of acenaphthenequinone 34 (0.175 g, 0.96 mmol) in methanol (6 mL) and sarcosine 6 (0.114 g, 1.28 mmol) in water (2 mL) at 90 °C was added 3,4-diphenylcyclobutene-1,2-dione 21 (0.150 g, 0.64 mmol) and the reaction mixture was stirred for 24 h at this temperature according to the general procedure. The reaction mixture after work up and purification afforded unreacted cyclobutenedione 21 (0.052 g), the cycloadducts 40 (0.075 g, 25%) and 35 (0.105 g, 56%). Yield of the cycloadduct 40 based on recovered cyclobutenedione was 38%.

Methyl 6'-hydroxy-3'-methyl-2-oxo-1',5'-diphenyl spiro[acenaphthylene-1-(2H),2'-[3]azabicyclo[3.1.0]hexane]-6'-carboxylate (40)

Yellow crystals; recrystallized from hexane ethyl acetate.

mp : 180-182 °C.

IR (KBr) v_{max} : 3550, 2946, 1771, 1716, 1431, 1255, 1102, 1014,

790, 709 cm⁻¹.

¹H NMR : δ 8.43-6.79 (m, 16H), 4.21 (d, 1H, J = 8.8 Hz), 3.95

(s, 3H), 3.77 (d, 1H, J = 8.8 Hz), 2.17 (s, 1H), 2.03

(s, 3H).

¹³C NMR : δ 207.86, 170.35, 143.13, 136.04, 134.35, 131.41,

131.06, 130.56, 130.12, 128.80, 128.43, 128.39,

128.03, 127.36, 127.31, 126.92, 126.32, 125.09,

124.64, 119.63, 80.73, 65.25, 62.62, 54.51, 51.95,

42.85, 34.48.

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SUMMARY

The thesis entitled "NOVEL DIPOLAR CYCLOADDITION REACTIONS OF 1,2-DIONES AND RELATED CHEMISTRY" embodies the results of extensive investigations carried out to gain some insight into the reactivity of various 1,2-diones with different dipolar species, especially carbonyl ylides.

A general introduction to the cycloaddition chemistry of 1,2-diones with special emphasis on dipolar cycloadditions of 1,2-benzoquinones, isatins and cyclobutenediones are presented in Chapter 1. A definition of the present research problem has also been incorporated.

The second chapter deals with the results of a detailed investigation of the cycloaddition reactions of 1,2-diones such as 1,2-benzoquinones, acenaphthenequinone and isatins with a number of carbonyl ylides. The regio and stereochemistry of the products were confirmed by single crystal X-ray analysis. It has been shown that carbonyl ylides undergo facile cycloaddition with 1,2-diones thus offering an efficient method for the synthesis of novel spiro oxabicyclic derivatives. In all cases, the cycloaddition is highly regio and stereoselective. Interestingly in the case of 1,2-benzoquinones, the ylide preferentially adds to the more electron deficient of the two carbonyls of each case of 3-methoxy-4,6-bis(1,1-diphenylmethyl)-1,2-In the quinone. benzoquinone and 1,2-naphthoquinone, mixtures of regioisomers are obtained. The reaction of carbonyl vlide with acenaphthenequinone proceeded in a highly stereoselective fashion. With isatins also the reaction is regio and stereoselective and afforded novel spiro oxindole derivatives in good yields. Interestingly the cycloadducts obtained by the reaction of 3,5-ditert-butyl-1,2-benzoquinone with the carbonyl ylides undergo facile photochemical rearrangement. Preliminary investigation has revealed that 1,4-naphthoquinone undergoes cycloaddition to carbonyl ylide preferentially at the C=C bond.

The third chapter contains the results of investigations aimed at studying the reactivity of azomethine ylides towards 1,2-diones. In this work we have observed facile dipolar cycloaddition reactions of 3,4-diphenylcylobutene-1,2-dione with the azomethine ylides derived from isatins, yielding novel spiro[pyrrolidine-2,3'-oxindole]derivatives which may be amenable to a number of useful synthetic transformations. It is worthy of note that the spiro[pyrrolidinyl-oxindole]ring system is a recurring structural motif in a number of natural products with remarkable biological activity. Novel spiropyrrolidine derivatives are also formed by the reaction of isatins, sarcosine and acenaphthenequinone.

In conclusion, we have uncovered some novel reactivity patterns of 1,2-diones such as 1,2-benzoquinones, isatins and cyclobutenediones towards various dipolar species. In the process, facile synthesis of a variety of interesting heterocyclic compounds has been achieved. It is conceivable that further explorations in the area of dipolar cycloaddition to 1,2-diones will be rewarding both from the synthetic and mechanistic standpoints.

LIST OF PUBLICATIONS

a) Articles in journals

- 1. Diels-Alder reactions of a 6-arenyl fulvene with dienes and dienophiles and related chemistry. Nair, V.; Nair, A. G.; Radhakrishnan, K. V.; Sheela, K. C.; Rath, N. P. Tetrahedron 1997, 53, 17361.
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